IN THE MATTER OF AN ARBITRATION UNDER CHAPTER ELEVEN OF THE NORTH AMERICAN FREE TRADE AGREEMENT AND THE UNCITRAL ARBITRATION RULES,

BETWEEN :

## WILLIAM RALPH CLAYTON, WILLIAM RICHARD CLAYTON, DOUGLAS CLAYTON AND DANIEL CLAYTON AND BILCON OF DELAWARE INC.

Claimants

- and

GOVERNMENT OF CANADA

Respondent

TRANSCRIPT OF PROCEEDINGS
HELD BEFORE JUDGE BRUNO SIMMA (PRESIDING ARBITRATOR), PROFESSOR DONALD McRAE, and PROFESSOR BRYAN SCHWARTZ
held at the offices of Arbitration Place, 333 Bay Street, Suite 900, Toronto, Ontario
on Thursday, February 22, 2018 at 9:05 a.m.
VOLUME 4 - FULL TRANSCRIPT \{REVISED\}
CONDENSED TRANSCRIPT WITH WORD INDEX
APPEARANCES:
Gregory Nash on behalf of the Claimants
Brent Johnston
Chris Elrick
Alex Baer
Alex Little
Randy Sutton
Frank Borowicz, Q.C.
Scott Little on behalf of the Respondents
Shane Spelliscy
Rodney Neufeld
Krista Zeman
Susanna Kam
Mark Klaver
ALSO PRESENT:
Lorinda Edmunds, Alison Burns, Raman Bath, Chelsea
MacDonald, Annie Ronen, Tyler Lalande, Darian
Parsons, Benjamin Tait, Derek Hehn
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940-100 Queen Street
Ottawa, Ontario K1P 1J9
900-333 Bay Street
(613) 564-2727

Toronto, Ontario M5H 2R2
(416) 861-8720

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## Toronto, Ontario

--- Upon resuming on Thursday, February 22, 2018 at 9:05 a.m.

PRESIDING ARBITRATOR: I think we are ready to proceed.

I would like to start by just kind of reading out a few things that might be of importance for the further course of the cross-examination. Mr. Nash has then asked for the floor, but first I will just read this out. I think in light of the discussion we had yesterday, it is good to, first of all, remind ourselves of paragraph 6.1 of PO25, but I don't have to read out that text because by now it is ringing in our heads.

Then we have 4.3, according to which the scope of cross-examination shall relate to issues relevant to the witnesses' or experts' written or oral evidence, and the tribunal is of the view that paragraph 4.3 does not deal with the question or at least not -- whether cross-examination must be exclusively based on information that is already on the record.

The tribunal has also made up its mind of the following point and I am going to read that out. It relates to questions led by counsel:
to the extent that counsel allege or mention facts or events in their questions, the tribunal does not regard that as evidence and the tribunal will not rely or place any weight on such assertions by counsel.

If the witness is unfamiliar with any facts asserted by counsel, the witness should simply say so. The tribunal will not be influenced in its decision by counsel's questions. Thus, the tribunal makes a distinction between an assertion of a fact in a cross-examination question and an attempt to place a new document on the record. With regard to that, the tribunal says that as an exception to the previous principle, a party must not circumvent the prohibition against placing new documents on the record without the tribunal's leave, by reading into the record or providing a detailed summary of documents that are not already in the record.

I think these principles should be helpful in our further course of work, and having said that, I give the floor to Mr. Nash who wants to raise a procedural issue.

MR. NASH: Thank you, Judge Simma. I wish to raise the issue of the use of the word "taint." Taint.

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PRESIDING ARBITRATOR: Case?
MR. NASH: "Taint" by Mr. Little yesterday.

PRESIDING ARBITRATOR: "Taint"?
MR. NASH: He asserted that either this proceeding was or might be or could have been "tainted" by the exchange with Ms. Griffiths yesterday. That is a very strong word. That smells of corruption. It smells of spoiling, defiling, contamination, and it is a very charged word.

By Canada's wish in the procedures of this proceeding, this is an open, transparent proceeding. Words like that are completely inappropriate to describe the exchanges that we had yesterday, which related to objections about evidence, objections that happen every day in every court before every tribunal. They are routine, they are regular. They don't taint a proceeding.

It is fundamentally wrong to characterize what went on yesterday as having anything to do with tainting this proceeding. This proceeding has been conducted fairly, to both sides, from the beginning and it continues to be. It has not been tainted by anything.

This proceeding, we know, it is no

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surprise to anyone, can be used and indeed, is being used for another agenda. It is very important how this proceeding is conducted. It is very important how this proceeding is described. There is a NAFTA negotiation going on right now. This very proceeding is the subject of considerable controversy. The entire record of the previous phase of this proceeding, the liability phase, went before the Federal Court. The entire record of this proceeding may go back before the Federal Court. There are interests in this country and beyond which have an interest in mischaracterizing what has gone on and what is going on in this proceeding.

So I would ask the tribunal to have any reference to the word "taint" be stricken from the record. I'd ask the tribunal to direct Mr. Little and his colleagues not to use that word. It is an inappropriate word. It has meaning. It can be used irresponsibly.

We have responsibilities to have an open proceeding. There is a responsibility to attach with that, and that is to ensure that we take every reasonable step to ensure that this pleading cannot be used for an ulterior purpose beyond the merits that are being determined in this proceeding.

This is a damages hearing. We have important legal issues to deal with. We are dealing with them in a methodical way.

There was, recall, no objection taken
to the line of questioning of Ms. Griffiths yesterday on the point that was raised, no objection taken at the time. There was no interruption. Nobody got up and said, "This shouldn't be happening." It was allowed to happen and it was characterized after-the-fact as tainting the proceeding and I take strong objection to that.

It is wrong and should not be
allowed.
PRESIDING ARBITRATOR: Thank you, Mr. Nash.

Mr. Little.
MR. SCOTT LITTLE: I really don't have any comment other than to say I see no reason to remove the word "taint" from the transcript. Mr. Nash said that this hearing has been conducted fairly. Indeed it has because evidence that shouldn't have been admitted into testimony yesterday was stricken from the record. That's all I will say. I think that's all that needs to have been done.

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PRESIDING ARBITRATOR: That's better, yes. Okay, Mr. Nash.

MR. NASH: I think he is going to have some introduction. I'm just standing here.

PRESIDING ARBITRATOR: Yes. EXAMINATION IN-CHIEF BY MR. KLAVER: MR. KLAVER: Good morning, Mr. McLean.
A. Good morning.
Q. You are currently the manager of the Fisheries Protection Program in the Maritimes Regional Office at the Department of Fisheries and Oceans in Nova Scotia; correct?
A. That's correct.
Q. You had the responsibility to lead the review of the Whites Point for DFO; correct?
A. That's correct.
Q. And for the Black Point project you were responsible for overseeing staff who provided DFO with expert advice under the Canadian Environmental Assessment Act; correct?
A. Yes, that's correct.
Q. You submitted one witness
statement in the damages phase of this arbitration,

PRESIDING ARBITRATOR: The tribunal has taken note of that. The question of what will happen to the word "taint" will have to be decided, but not now.

I think we can start the cross-examination and I think we decided to return to the original order in that regard and that means that it's now Mr. McLean who will be examined. AFFIRMED: MR. MARK McLEAN

PRESIDING ARBITRATOR: Good morning, Mr. McLean.

THE WITNESS: Good morning.
PRESIDING ARBITRATOR: This is your cross-examination. Will you please read out the statement that you have in front of you on that piece of paper.

THE WITNESS: This one here? Yes.
I solemnly declare upon my honour and conscience that I will speak the truth, the whole truth and nothing but the truth.

PRESIDING ARBITRATOR: Right. Thank
you.
You might get the microphone a bit closer.

THE WITNESS: Okay. Is this better?

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dated November 6th, 2017; correct?
A. That's correct, yes.
Q. Do you have any corrections that you wish to make to the statement?
A. I do, so there is two
corrections. So in paragraph 6 it refers to the use of the Bay of Fundy by North Atlantic right whales.

I think -- I can't remember if it is the second sentence refers to the use of the Bay of Fundy for birthing for North Atlantic right whales. It is actually not used for birthing. It is used for feeding, calfing -- so, feeding, nursery areas, mating, but not for birthing.

That was corrected in one sentence but it was mixed in that sentence in paragraph 6 and also in paragraph 24.
Q. Okay. Anything else?
A. That's it.
Q. Okay.

PRESIDING ARBITRATOR: A correction
has to be made also in paragraph 24?
THE WITNESS: Yes, 24, paragraph 24
as well.
I corrected in one sentence but then I missed it in going through the document.

|  | Page 1072 |  |
| :---: | :---: | :---: |
|  |  |  |
| 1 | PRESIDING ARBITRATOR: Okay. That | 1 |
| 2 | was the only -- | 2 |
| 3 | THE WITNESS: That's the only | 3 |
| 4 | correction. | 4 |
| 5 | PRESIDING ARBITRATOR: So, Mr. Nash, | 5 |
| 6 | it is your turn. | 6 |
| 7 | CROSS-EXAMINATION BY MR. NASH: | 7 |
| 8 | MR. NASH: Mr. McLean, you appeared | 8 |
| 9 | before this tribunal in October of 2013 in the | 9 |
| 10 | jurisdiction and liability phase; that is correct? | 10 |
| 11 | A. That's correct. | 11 |
| 12 | Q. You were a manager with DFO then? | 12 |
| 13 | A. That's correct. | 13 |
| 14 | Q. And you are still a manager at | 14 |
| 15 | DFO; that is correct? | 15 |
| 16 | A. That is right. | 16 |
| 17 | Q. Do you recall having signed two | 17 |
| 18 | affidavits in the jurisdiction and liability phase? | 18 |
| 19 | A. I do, yes. | 19 |
| 20 | Q. Do you recall that we went | 20 |
| 21 | through those affidavits in detail at your last | 21 |
| 22 | appearance before this tribunal? | 22 |
| 23 | A. . do, yos. | 23 |
| 24 | Q. Do you recall in the first phase | 24 |
| 25 | confirming that you had no special expertise in | 25 |

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PRESIDING ARBITRATOR: Okay. That
THE WITNESS: That's the only
PRESIDING ARBITRATOR: So, Mr. Nash, it is your turn.
CROSS-EXAMINATION BY MR. NASH:
MR. NASH: Mr. McLean, you appeared
before this tribunal in October of 2013 in the jurisdiction and liability phase; that is correct?
A. That's correct.
Q. You were a manager with DFO then?
A. That's correct.
Q. And you are still a manager at
A. That is right.
Q. Do you recall having signed two
affidavits in the jurisdiction and liability phase?
A. I do, yes.
Q. Do you recall that we went
through those affidavits in detail at your last appearance before this tribunal?
A. I do, yes.
confirming that you had no special expertise in
Q. Do you recall admitting to the tribunal in October of 2013 that you described certain events in your affidavit to the tribunal having had no personal involvement in those events?
A. I'm not sure of the question.
Q. Well, I'll just repeat it: Do you
recall telling the tribunal in 2013 that you described certain events in your affidavit having had no personal involvement in those events? If I can refresh your memory, do you recall that when you arrived at DFO in 2005 --
A. Right.
Q. -- you reviewed the file and you described a narrative in your affidavit having reviewed the file, having selected documents to insert and to review the file in a narrative form in your affidavit?
A. Right. So there was, prior to my arrival in 2005 at DFO I wasn't involved, obviously, with the department in reviewing Whites Point Quarry from that perspective.
Q. Do you recall telling the tribunal that you had described certain events in your affidavit in which you had had no involvement?
A. No direct involvement but working

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marine mammals?
A. So my expertise is working for DFO for the past 13 years to review projects for impacts on fish and fish habitat including marine mammals.
Q. You are not a research scientist with the DFO; that is correct?
A. That's not my job. My job is to review for impacts. I work with research scientists at DFO.
Q. Who know much more about the science than you; is that correct?
A. They would be primary researchers on documents but I would review that literature in reviewing impacts to projects on marine mammals.
Q. And you are a manager?
A. That's correct.
Q. Do you recall telling the tribunal in 2013 that the DFO, including you, relied on DFO scientists to provide a scientific understanding of the migration patterns of marine mammals?
A. That's correct.
Q. And that's true today?
A. That's correct.

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with the staff that would have had involvement in those events.
Q. "No personal involvement", were your words; do you recall that?
A. No personal involvement in the discussions that would have happened prior to 2005.
Q. Well, let's just go to tab 13 of the document in front of you, the binder, page 289, line 9.
A. Yes.
Q. I state:
"Let's start at paragraph 13.
You say:
"As Nova Stone's application involved the use of explosives in close proximity to the Bay of Fundy, NSDEL contacted a DFO advisor on marine mammals to request that DFO consider whether the proposed engaged concerns under DFO's jurisdiction'."
That was your sworn affidavit, sworn evidence in your affidavit; do you recall that?
A. Yes.
Q. And then I say:

|  | Page 1076 |  | Page 1077 |
| :---: | :---: | :---: | :---: |
| 1 | "I take it from what you've told | 1 | "Again, you had no involvement in |
| 2 | me so far that you actually had | 2 | that process for the request of |
| 3 | no involvement in that; is that | 3 | the inclusion of the two clauses |
| 4 | correct? | 4 | in the approval; correct?" |
| 5 | Answer: That's correct. | 5 | Answer: That is correct." [As |
| 6 | Question: Going on, it states | 6 | read.] |
| 7 | 'DFO expressed concern regarding | 7 | A. Right. |
| 8 | the potential impact of blasting | 8 | Q. And then, just going over, there |
| 9 | on marine mammals such as the | 9 | is a couple more examples, but go over to page 291, |
| 10 | North Atlantic Right Whale."' | 10 | line 9: |
| 11 | And I quote again from your | 11 | "So you had basically been |
| 12 | affidavit. And I say at line 4 at page 290: | 12 | through the file, you had seen |
| 13 | "And again, you have no | 13 | these communications, drawn the |
| 14 | first-hand knowledge of that. | 14 | conclusions that you draw here |
| 15 | That is something that has either | 15 | from those documents but you |
| 16 | been told to you or you concluded | 16 | actually have no first-hand |
| 17 | from a document? | 17 | knowledge of any of the |
| 18 | Answer: That's correct, yes. | 18 | communications leading up to |
| 19 | Question: 'In light of this | 19 | them; is that correct? |
| 20 | concern' -- this is a quote from | 20 | Answer: That's correct, yes." |
| 21 | your affidavit -- 'DFO requested | 21 | Now, the answers that you give to the |
| 22 | that two clauses be added to the | 22 | tribunal, in October of 2013 were true; is that |
| 23 | industrial approval that be | 23 | correct? |
| 24 | granted to Nova Stone."' | 24 | A. Yes. |
| 25 | End quote. Line 15. | 25 | Q. And there were many more examples |
|  | Page 1078 |  | Page 1079 |
| 1 | of that; do you recall that? | 1 | A. That's correct. |
| 2 | A. I do, yes. | 2 | Q. And you were hired by DFO in |
| 3 | Q. So, going back to your | 3 | October of 2005, one year after the JRP commenced |
| 4 | background, you were at the Nova Scotia Environment | 4 | its work, that's correct? |
| 5 | Department from 1999 to 2002 when you went on a | 5 | A. Yes. |
| 6 | one-year exchange to DFO; correct? | 6 | Q. And you were hired as an |
| 7 | A. That's correct. | 7 | environmental analyst in 2005; is that correct? |
| 8 | Q. And on April 1st, 2003 you | 8 | A. Yes. |
| 9 | returned to the Nova Scotia Environment Department; | 9 | Q. And you were DFO environmental |
| 10 | that's correct? | 10 | analyst with the habitat management division from |
| 11 | A. That's correct. | 11 | October of 2005 to June 2009; that's correct? |
| 12 | Q. And you were at the Nova Scotia | 12 | A. Yeah, the correct title of the |
| 13 | Environment Department not the DFO in the summer of | 13 | group was Environmental Assessment in Major Projects |
| 14 | 2003, that's correct? | 14 | Division but within the Habitat program, yes. |
| 15 | A. That's correct, yes. | 15 | Q. Your position was as a DFO |
| 16 | Q. And that summer of 2003 was when | 16 | environmental analyst; is that correct? |
| 17 | the Whites Point Project was referred to a Joint | 17 | A. That's correct, yes. |
| 18 | Review Panel; is that correct? | 18 | Q. And that was during the course of |
| 19 | A. Yes. | 19 | the rest of the environmental assessment of the |
| 20 | Q. And in January 2004 you left | 20 | Whites Point Project which concluded in 2007, |
| 21 | Environment to join CEAA; do you recall that? | 21 | including the time when the JRP held public hearings |
| 22 | A. Yes. | 22 | and issued its report; that's correct? |
| 23 | Q. And you were at CEAA not at DFO | 23 | A. Yes. |
| 24 | when the Whites Point Joint Review Project commenced | 24 | Q. So all through that period you |
| 25 | its work; that's correct? | 25 | were an environmental analyst; is that correct? |


|  | Page 1080 |  | Page 1081 |
| :---: | :---: | :---: | :---: |
| 1 | A. That's correct. | 1 | scientists who specialize in the study of fish and |
| 2 | Q. And today the DFO employs | 2 | lobster; that's correct? |
| 3 | hundreds of scientists and engineers who are experts | 3 | A. Yes. |
| 4 | in matters related to the ocean; is that correct? | 4 | Q. And you are not one of those? |
| 5 | A. Yes. | 5 | A. I am working closely with those |
| 6 | Q. Including oceanographers, | 6 | to prepare information like this, and the evaluation |
| 7 | physicists, biologists and experts on species at | 7 | of projects. |
| 8 | risk; correct? | 8 | Q. You are not one of those people, |
| 9 | A. Yes. | 9 | that's correct? |
| 10 | Q. And you are aware today that the | 10 | A. I do not prepare primary research |
| 11 | DFO employs 25 scientific experts specializing in | 11 | document on things like lobsters and whales. I do |
| 12 | the study of marine mammals; that's correct? | 12 | apply scientific knowledge, both my educational |
| 13 | A. Yes, and I work closely with | 13 | background and experience in the review of projects. |
| 14 | those scientists that -- | 14 | Q. You are not Dr. Kent Smedbol; is |
| 15 | (Simultaneous speakers - unclear) | 15 | that correct? |
| 16 | Q. I'm sure you do. But they are | 16 | A. I'm pretty sure I'm not, no. |
| 17 | the scientific experts, aren't they? | 17 | Q. He is a decades-long expert in |
| 18 | A. They would be part of the | 18 | species at risk, in the north -- and the North |
| 19 | scientific team that would look at projects and | 19 | Atlantic Right Whale in particular, isn't that |
| 20 | produce primary publications and research for | 20 | correct? |
| 21 | support of management decisions. | 21 | A. He has some background in North |
| 22 | Q. Yes. You manage, they research, | 22 | Atlantic Right Whale, yes, he has done research in |
| 23 | they study; that's correct? | 23 | that area. |
| 24 | A. That's correct. | 24 | Q. He has got a background? |
| 25 | Q. And there are many other | 25 | A. That's part of his research. |
|  | Page 1082 |  | Page 1083 |
| 1 | He's done numerous other things as well. | 1 | Q. And you were aware that in 2007, |
| 2 | Q. He is a leading distinguished | 2 | DFO scientific experts analysed and opined on the |
| 3 | scientist -- | 3 | potential effects of the Whites Point Project; |
| 4 | A. Yes. | 4 | that's correct? |
| 5 | Q. -- at the DFO? | 5 | A. That's correct, yes. |
| 6 | A. Yes. | 6 | Q. The effects, if any, of shipping |
| 7 | Q. Who leads the team, the research | 7 | to and from Whites Point on marine mammals; that's |
| 8 | team on species at risk, isn't that correct? | 8 | correct? |
| 9 | A. Not currently, no. | 9 | A. That is correct. |
| 10 | Q. As of last year? | 10 | Q. The effects, if any, of blasting |
| 11 | A. He's the section head of | 11 | at Whites Point on marine mammals; that's correct? |
| 12 | population ecology. | 12 | A. Yes, that's correct. |
| 13 | Q. He's got decades of experience | 13 | Q. The effects, if any, of blasting |
| 14 | dealing with species at risk, and in particular the | 14 | at Whites Point on lobster; is that correct? |
| 15 | North Atlantic Right Whale; isn't that's correct? | 15 | A. Yes, I would have been working |
| 16 | A. Yes, he has experience in the | 16 | with all those staff in preparing the documents in |
| 17 | North Atlantic Right Whale. | 17 | preparation for presentation to the panel. |
| 18 | Q. And you don't have those | 18 | Q. Could you turn to tab 3, please. |
| 19 | qualifications; is that correct? | 19 | It's your affidavit, one of your |
| 20 | A. I don't have any direct research | 20 | affidavits from the first stage of this proceeding. |
| 21 | publications on North Atlantic Right Whale, that's | 21 | If you could go to page 2, paragraph 4. You say: |
| 22 | correct. | 22 | "I worked there, NSDEL, until |
| 23 | Q. You manage; that's correct? | 23 | October of 2005 when I |
| 24 | A. I review projects for impacts on | 24 | transferred to DFO as a senior |
| 25 | species like the North Atlantic Right Whale. | 25 | environmental analyst with the |


|  | Page 1084 | Page 1085 |  |
| :---: | :---: | :---: | :---: |
| 1 | Habitat Management Division of | 1 | A. That's correct. |
| 2 | DFO's Maritimes Regional office. | 2 | Q. And who is that person? |
| 3 | Here I was" -- and I focus on | 3 | A. Ted Potter. |
| 4 | these words -- | 4 | Q. Who did that person, Ted Potter, |
| 5 | "I was also involved in the EA of | 5 | report to? |
| 6 | the Whites Point Project." [As | 6 | A. He would have reported to the |
| 7 | read.] | 7 | director of -- we've changed our names so many times |
| 8 | Was that true at that time? | 8 | I can't remember whether it was the Oceans Habitat |
| 9 | A. Sorry, this was in 2005? | 9 | Species at Risk director. |
| 10 | Q. Yes. | 10 | Q. A director? |
| 11 | A. Yes. | 11 | A. Yes. |
| 12 | Q. Here you say: | 12 | Q. So you were analyst, you reported |
| 13 | "When I was transferred to the | 13 | to a manager, the manager reported to a director? |
| 14 | DFO, I was also involved in the | 14 | A. That's correct. |
| 15 | EA of the Whites Point Project." | 15 | Q. What position did the person hold |
| 16 | [As read.] | 16 | that that person, Mr. Potter reported to? |
| 17 | That's correct? | 17 | A. Who was the individual that was |
| 18 | A. That's correct. | 18 | there? |
| 19 | Q. Now, as an environmental analyst, | 19 | Q. Yes. |
| 20 | what was the position of the person that you | 20 | A. I believe at the time it was |
| 21 | reported to in 2005 to 2007? | 21 | Carol Ann Rose. |
| 22 | A. He would have been the manager | 22 | Q. Carol Ann Rose, and what was the |
| 23 | for Environmental Assessment and Major Projects | 23 | position? |
| 24 | Division. | 24 | A. She was the director for Oceans |
| 25 | Q. So there was a manager above you? | 25 | Habitat Species at Risk. |
|  | Page 1086 |  | Page 1087 |
| 1 | Q. Who did the director report to? | 1 | Habitat branch; do you recall who that was? |
| 2 | A. It would have been the Regional | 2 | A. Again, it's changed many times. |
| 3 | Director General. | 3 | Could have been -- I'm trying to think. |
| 4 | Q. And was that person Mike Murphy | 4 | Q. Does David Miller -- |
| 5 | at the time? | 5 | A. Yes, David Miller, I think was |
| 6 | A. I think at that time it was | 6 | there at that time, yes. |
| 7 | Mike -- oh, sorry, sorry, the Regional Director | 7 | Q. Could you turn, please, to tab 12 |
| 8 | General? | 8 | at page 767 . |
| 9 | Q. Yes. | 9 | PRESIDING ARBITRATOR: 67? |
| 10 | A. No, sorry, Mike Murphy was not | 10 | MR. NASH: 767. |
| 11 | the Regional Director General. I believe it was | 11 | The lead researcher for the DFO |
| 12 | Faith Scattalon. | 12 | Species at Risk research team of scientists in 2007 |
| 13 | Q. Faith Scattalon. And Mike Murphy | 13 | was Dr. Kent Smedbol; correct? |
| 14 | was a person between the other person and Faith | 14 | A. That's correct, yes. |
| 15 | Scattalon, the Regional Director of Oceans and | 15 | Q. And if you go back to page 766 |
| 16 | Habitat? | 16 | this is an excerpt for the transcript of the JRP |
| 17 | A. Sorry, they've changed so many | 17 | proceedings on June 20th, 2007, volume 4. |
| 18 | times. I think I've gone through a dozen directors | 18 | You will see that Dr. Kent Smedbol is |
| 19 | since I've been with the program. Carol Ann Rose | 19 | referred to as one of the scientists who appeared |
| 20 | was there when I first started. She was the | 20 | before the JRP and he was stationed -- at the very |
| 21 | director and then I think Mike Murphy took over | 21 | bottom, of 766 , line 25 , he was a -- the lead |
| 22 | somewhere between 2005, and probably 2008 it | 22 | research -- the lead region's research team for |
| 23 | switched between Carol Ann Rose and Mike Murphy. | 23 | Species at Risk at that time, working out of the St. |
| 24 | Q. All right. There was a Species | 24 | Andrews biological station; is that correct? |
| 25 | at Risk Coordinator at that time for the Oceans and | 25 | A. Yes. Yes, and as with a lot of |

positions, this changed. He was actually the manager of my program before I took over in 2013.
Q. Interesting, but by the time -at the time of the actual JRP hearings, he was the region's lead for the research team for Species at Risk; correct?
A. Yes, he was.
Q. And under that team there was a lot of scientific research going on with respect to the Right Whale which was one of the leading Species at Risk; correct?
A. DFO has some research capacity and at that time there was research going on in North Atlantic Right Whales as well as other organizations which we partner with to do research on North Atlantic Right Whales.
Q. Is what I've said correct or incorrect?
A. That he was one of the lead researchers? At the time, yes, he was leading that Species at Risk program from the science perspective.
Q. He was the region's lead for the research team for Species at Risk?
A. That's correct.

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study of the North Atlantic Right Whale and their migration patterns; correct?
A. So under the population ecology division we would have biologists that would look at marine mammals sections, yes. So he would be the section head but he's not the primary research lead for marine mammals at this time.
Q. Have you looked at your website recently, the DFO's website?
A. I've looked at parts of it.
Q. Are you familiar with how

Dr. Smedbol is characterized on that website?
A. I haven't looked at it lately, but I'm not sure if that's been updated or not. Unfortunately with government we seem to lag behind sometimes in updating our websites.
Q. His qualifications haven't gotten any staler with time; is that correct?
A. No.
Q. Did you make any inquiries of

Dr. Smedbol regarding the past and present risk to Right Whales including the risk of fishing gear entanglements before signing your statement for this phase of the proceeding?
A. Not with Dr. Smedbol. I would
Q. He was at that time one of many scientists who had deep knowledge of the migration patterns of Right Whales; correct?
A. He would be one of them, yes.
Q. And for expert scientific information and opinions involving the Fisheries and Oceans, would you defer to Dr. Smedbol has having superior knowledge and information and understanding of migration patterns for Right Whales; correct?
A. That's right. So within the department, that would be the person we would defer to at that time.
Q. And he continues to be the leader of the research team researching Species at Risk, that's correct?
A. I don't believe that's his title
at this time. Things have changed.
Q. Is that his function, though?
A. His function is he's the section head for the Population Ecology Division. We don't...
Q. Which includes --

MR. SCOTT LITTLE: Mr. Nash, let
Mr. McLean finish his answer.
MR. NASH: Which includes significant

|  | Page 1092 |  |
| ---: | :---: | ---: |
| 1 | Marriman [sic] with the Species at Risk management | 1 |
| 2 | division. | 2 |
| 3 | Q. And Cathy Marriman, her | 3 |
| 4 | qualifications are? | 4 |
| 5 | A. I don't have her qualifications | 5 |
| 6 | in front of me. But she has worked extensively on | 6 |
| 7 | North Atlantic Right Whales. | 7 |
| 8 | Q. She's a scientist? | 8 |
| 9 | A. She is. | 9 |
| 10 | Q. And Dr. Hilary Murphy-Moor, she | 10 |
| 11 | is a scientist as well? | 11 |
| 12 | A. She is. | 12 |
| 13 | Q. Highly qualified? | 13 |
| 14 | A. Yes. | 14 |
| 15 | Q. If you go back to the page we | 15 |
| 16 | were looking at, 767, this is a listing of the 11 | 16 |
| 17 | representatives of DFO who appeared before the JRP. | 17 |
| 18 | The next one I'd like to turn to is Dr. John | 18 |
| 19 | Tremblay. He identifies himself at line 12 of 767 | 19 |
| 20 | as a research scientist with the Population Ecology | 20 |
| 21 | Division at the Bedford Institute of Oceanography. | 21 |
| 22 | Do you know him? | 22 |
| 23 | A. Yes, I work with Dr. John | 23 |
| 24 | Tremblay before in the past. | 24 |
| 25 | Q. Did you ask him about his | 25 |

Marriman [sic] with the Species at Risk management
Q. And Cathy Marriman, her
A. I don't have her qualifications
in front of me. But she has worked extensively on North Atlantic Right Whales.
Q. She's a scientist?
A. She is
Q. And Dr. Hilary Murphy-Moor, she
A. She is.
Q. Highly qualified?
A. Yes.
Q. If you go back to the page we
were looking at, 767 , this is a listing of the 11 representatives of DFO who appeared before the JRP. The next one Id rike to turn to is Dr. John Tr areserch scientist with the Pop lation Ecology Division at the Bedford Institute of Oceanography. Do you know him?
A. Yes, I work with Dr. John

Tremblay before in the past.
Q. Did you ask him about his
scientific opinion on the effect, potential effect of the Whites Point Project on lobster?
A. At the time when we were
reviewing the project, yes.
Q. Recently?
A. Not recently, no.
Q. Dr. Tremblay is another eminent and distinguished member of the research team, he's a scientist, head of the DFO's -- currently -Atlantic Coast Crustacean and Lobster Unit; that's correct?
A. Yes.
Q. He has published widely since

2000 with a focus on research and study of lobster, of the lobster population in the Maritimes; that's correct?
A. That's correct.
Q. And you didn't consult him for the purpose of your witness statement, did you?
A. No, it wasn't required. We were looking at published data as far as the information that we would present.
Q. What you were looking at in part was the comparison between Black Point and Whites Point and the effects of one project on lobster as
opposed to the effects of another project on lobster. That was in part what you were looking at; correct?
A. We were looking at the --
certainly the abundance of lobster between those two locations.
Q. When you have say "we were
looking at", who were "we"?
A. So I would have gotten information that was -- I'm trying to remember exactly who provided the information but we had statistics information on the lobster catches in the area, so that comes from our Commercial Licenses Division. I'm trying to think of the other areas, but it would have been the primary --
Q. Who were the people at the Commercial License Division?
A. So, one of the publications that we look at is where they do the analysis of lobster catches on a grid-by-grid basis.
Q. I am just asking you who they
were?
A. So Scott Coffen-Smout who is a data analyst with our oceans program, he was the one who published that information.
Q. Were there any other people that you consulted with in order to put together your witness statement for this proceeding within the DFO whose information you relied upon?
A. For the lobster information?
Q. For the lobster.
A. I don't -- I think that was it,
for the lobster.
Q. So, you didn't consult with any research scientist for the purpose of doing your analysis?
A. No, we used the information that was already published, as well as my knowledge from the project reviews.
Q. You didn't seek any opinion from, scientific opinion from one of the scientists who is an expert in lobsters in the Maritimes region within the DFO with respect to the impact or potential impact on the Black Point Project on lobsters and the impact of the Whites Point Project on lobsters, that's correct?
A. We looked at --
Q. Just answer my question: Did you consult with any --
A. Well, I will answer the question.

|  | Page 1096 |  | Page 1097 |
| :---: | :---: | :---: | :---: |
| 1 | I'm just going to expand on it, so... | 1 | A. Yes, currently still is. |
| 2 | Q. Let's just get to the answer | 2 | Q. And he still is. |
| 3 | first and then you can expand on it. Did you | 3 | And Dr. Cochrane got his PhD in 1973; |
| 4 | consult with any other scientist, any scientist? | 4 | is that correct to the best of your knowledge? |
| 5 | A. No, for the reason that we were | 5 | A. To the best of my knowledge, yes. |
| 6 | looking at lobster catches for the area so that | 6 | Q. And today he is a DFO research |
| 7 | information is published within documents and | 7 | scientist in the Oceans Physics Section of the |
| 8 | journals so there was no need to go to research | 8 | Bedford Institute; is that correct? |
| 9 | scientists for that information. | 9 | A. That's correct. |
| 10 | Q. That's all you looked at? | 10 | Q. There is another delegate there |
| 11 | A. They would just refer us to that | 11 | we've covered, David Miller and he was the -- if you |
| 12 | information anyway for what we were looking for. | 12 | go down to the bottom of page 767, line 25, he was |
| 13 | Q. So you had something you were | 13 | the Species at Risk coordinator for the Oceans and |
| 14 | looking for and you simply asked them where to find | 14 | Habitat branch; that's correct? |
| 15 | it; you already knew where to find it? | 15 | A. He would have been the manager of |
| 16 | A. Yes. | 16 | the Species at Risk division at that time, yes. |
| 17 | Q. And Dr. Norm Cochrane is also | 17 | Q. And Tony Henderson who is |
| 18 | referred to as being a member of the delegation; do | 18 | referred to on page 767 at line 10, he was a habitat |
| 19 | you remember Dr. Norm Cochrane attending the JRP | 19 | assessment biologist; that's correct? |
| 20 | hearing in June of 2007 and do you remember that -- | 20 | A. That's correct, yes. |
| 21 | first of all, do you remember that? | 21 | Q. Now, in reviewing these names |
| 22 | A. Yes, I do. | 22 | from the bottom of page 766 to 768, I don't see your |
| 23 | Q. And he was a -- was and is a | 23 | name mentioned as being a delegate from the DFO? |
| 24 | research scientist with the Oceans Physics | 24 | A. That's right. |
| 25 | Section at the Bedford Institute; is that correct? | 25 | Q. And you were, you've said, the |
|  | Page 1098 |  | Page 1099 |
| 1 | lead person -- | 1 | Regional Manager for Habitat Protection, Sustainable |
| 2 | A. Yes. | 2 | Marine Development, and then a whole cast of |
| 3 | Q. -- on the team? | 3 | scientists were there? |
| 4 | A. Yes. | 4 | A. So my job would have been to |
| 5 | Q. You are not a delegate. Is that | 5 | prepare all those people for the panel presentation, |
| 6 | correct? | 6 | including preparing the presentation itself, having |
| 7 | A. Well, it is and I have a good | 7 | it reviewed, going through questions, preparing that |
| 8 | excuse. So my second child was due the day for the | 8 | information, but physically being there, |
| 9 | panel presentation, so travelling to Digby was a | 9 | unfortunately I wasn't able to be there that day. |
| 10 | little bit of a concern that my wife wasn't going to | 10 | Q. Right. If you go back to tab 3, |
| 11 | let me go when she was over 9 months pregnant at the | 11 | page 2, paragraph 4, we've been here before. |
| 12 | time, so I did -- I was preparing all the | 12 | I will just go back to that sentence: |
| 13 | information, prepared the staff that went up there, | 13 | "Here I was also involved in the |
| 14 | but I myself wasn't present. I would have, had my | 14 | EA of the Whites Point Project." |
| 15 | wife not been so adamant about me not travelling | 15 | [As read.] |
| 16 | three hours away as she was about to give birth. | 16 | That affidavit was sworn on December |
| 17 | Q. And so above you is Mr. Marshall, | 17 | 1st, 2011 and in the last seven years you've |
| 18 | he's there, he's the area director? | 18 | graduated, if you go back to tab 1, page 2, |
| 19 | A. He was the area director, yeah. | 19 | paragraph 2, third line from the bottom. Page 2 of |
| 20 | Q. You've got Norm Cochrane, Kent | 20 | tab 1, paragraph 2, third line from the bottom. You |
| 21 | Smedbol who were scientists? | 21 | graduated in that period of time since 2011 to |
| 22 | A. Yes. | 22 | "having responsibility to lead the review of the |
| 23 | Q. Above you was the Regional | 23 | Whites Point Project for DFO." |
| 24 | Director of Oceans and Habitat, there was | 24 | Now, that I'm going to suggest to you |
| 25 | Mr. Murphy. Above you was Ted Potter, the acting | 25 | is a total exaggeration; you had involvement, what |

you said in 2011 was true, you were not the lead, responsible person for the review of the Whites Point Project?
A. For DFO, I was.
Q. Do you deny what I've said?
A. Do I deny that I was not the
lead?
Q. Yes.
A. I was the lead for that project, as well as other projects.
Q. Why did you not say that in 2011, when you said you were involved?
A. That's, I guess, semantics at the time and stuff, but I was the lead for the project.
Q. So being the lead reviewer as you've described, you would know the scientific evidence that DFO compiled for presentation to the JRP regarding the North Atlantic Right Whales; correct?
A. That's correct.
Q. Regarding their migration patterns?
A. Yes.
Q. Regarding the effects of shipping, boating and fishing on North Atlantic

Page 1102
regional lead for providing that information.
Q. Was that Faith Scattelon?
A. She would have been the Regional Director General, yes, and we would have been feeding up through Ottawa for the briefing material for the Minister.
Q. And it was important for the Minister of Fisheries before the Cabinet made the decision whether to approve or reject the project to have all of the relevant material available, information in front of him so he could make a reasonable and proper decision; that is correct?
A. Yes, we do briefings for projects for ministerial decisions.
Q. The answer to my question is
"yes."
A. Yes.
Q. And would that report from Faith Scattelon go up to the Associate Deputy Minister?
A. I believe it would, but I --
after it goes from the RDT, I'm not sure sort of who sees it, but it's typically would go up through the associates.
Q. Didn't you draft a memorandum
after the Whites Point JRP hearings that was

Right Whales?
A. That's right.
Q. The effects of blasting at Whites

Point on North Atlantic Right Whales?
A. That's right.
Q. The effects of blasting on
lobster?
A. That's right, yes.
Q. Being the lead reviewer, you
would also be completely familiar with all of the evidence relevant to the effects analysis that may or may not have been presented to the JRP?
A. That's correct, yes.
Q. Have you read the transcript of the JRP, where the DFO gave evidence?
A. I did, yes.
Q. When did you read that?
A. As soon as it was published, for one, and probably several times since then.
Q. And whose responsibility was it in DFO to ensure that the Minister of Fisheries and Oceans was aware of all of the relevant facts known within the DFO before Cabinet made a decision whether to approve or reject the project?
A. So it would have been part of the

Page 1103
assigned by Faith Scattelon which is to the Associate Deputy Minister; do you recall that?
A. Yes, that sounds accurate.
Q. Do you recall that there were international shipping lanes in the Bay of Fundy?
A. Yes.
Q. Do you recall hearing the term "rule vessel"?
A. Yes.
Q. Do you know what a rule vessel
is?
A. My understanding is that it's applied to those vessels that need to apply to the shipping lane.
Q. How many of these vessel, rule vessel were going through the shipping lanes in 2007?
A. I believe the number was 800 .
Q. What was the increase in shipping provided by rule vessels as a result of the opening of the -- if the Whites Point Quarry operated, what was the increase in shipping?
A. 6 per cent.
Q. And what was the evidence before
the JRP with respect to the approximate number of

Page 1104
smaller vessels going through the Bay of Fundy?
A. I can't recall that number and
stuff but it was obviously substantially larger.
Q. Do you know the range?
A. Again, I can't recall it off the top of my head, no.
Q. How many fishing vessels were operating in the Bay of Fundy in 2007?
A. Oh, I -- again, I don't have that number in front of me or off the top of my head.
Q. What was the distance between the shipping lanes and the Whites Point Quarry?
A. Shipping lanes, again, I can't recall but I would say 20 kilometres or less.
Q. What was the evidence before the JRP with respect to the number of collisions between ships travelling through the Bay of Fundy and North Atlantic Right Whales during the period 1970 to 2004?
A. Again, you're testing my knowledge but I would say that there was seven deaths in Canadian waters, I believe in that time.
Q. No, my question is: What was evidence before the JRP as to the number of collisions between ships travelling throughout Bay

Page 1106
moving the shipping lane.
Q. Dr. Smedbol's evidence was that the figure was thought to have been around 80 per cent, but by the time of the JRP hearing it was -- it is thought to be 95 per cent.
A. All right.
Q. Have you ever done the math? If there were four deaths between 1970 and 2004, and the mortalities were reduced by 95 per cent, and the four mortalities in 34 years was one in eight and a half years, did you ever do the math as to what the reduction would be by applying the 5 per cent figure?
A. No.
Q. If I was to say that that would be 175 mortalities -- one in 175 years, would that ring true to you?
A. Yes, I mean that would be based on the numbers available at the time.
Q. And that would be minimal?
A. That would be considered low.

DR. PULKOWSKI: Mr. Nash, before you move on, sorry to interrupt, I understand that our viewer, who was registered today to follow the proceeding in the viewing area, has just arrived.

Page 1105
of Fundy and North Atlantic Right Whales during the period 1970 to 2004?
A. What was the evidence?
Q. What was the evidence before the

JRP?
A. You are looking for the specific
numbers or --
Q. I am.
A. Again, I don't recall that off the top of my head.
Q. If I was to say to you in 34 years there had been four Right Whale mortalities as a result of a collision with vessels going through the Bay of Fundy in that 34 years?
A. That's -- that sound accurate, I think the number that I seem to recall is around 7 in sort of Canadian waters, but that may extend beyond sort of the Bay of Fundy. Again, that's the ones that are recorded and documented, yes.
Q. What was the evidence before the JRP with respect to the effect of the relocation of the shipping lanes in 2003?
A. So, some of the scientific analysis determined that there would be a 90 per cent reduction in collisions as a result of

## Page 1107

We have not yet turned on the feed because you had no prior notice, but I would suggest that we now request the technicians to turn on the feed because the viewer has arrived and that counsel then pay attention to the confidentiality signals as previously noted.

MR. NASH: Thank you. I don't expect to have any confidential areas for this purpose.

You were aware, I take it of the evidence before the JRP that Right Whale mortalities can result from collisions with vessels of all sizes, including small vessels?
A. Yes, but the mortality is obviously less with small vessels.
Q. Mortalities can result from vessels of any size; correct?
A. Yes, but typically the smaller vessels, the rate of mortality would be considered lower.
Q. Were you aware of Dr. Smedbol's evidence that between 2005 and 2007, there were two known Right Whale mortalities in all Canadian and US waters, one in Canadian waters, one in the US waters, and that by -- and that one was certain to have been caused by a pleasure craft and the other

|  | Page 1108 |  |
| ---: | :---: | :---: |
| 1 | was likely caused by a pleasure craft given the | 1 |
| 2 | wounds on the whale; are you aware of that evidence? | 2 |
| 3 | A. Yes. | 3 |
| 4 | Q. And that there were none caused | 4 |
| 5 | by large rule-size vessels; are you aware of that | 5 |
| 6 | evidence? | 6 |
| 7 | A. At that time, of course now since | 7 |
| 8 | 2017, the summer we've had, we're up to 12 -- | 8 |
| 9 | Q. We'll get to that. | 9 |
| 10 | MR. SCOTT LITTLE: Mr. Nash, he | 10 |
| 11 | wasn't finished. | 11 |
| 12 | MR. NASH: No, he wasn't, but he | 12 |
| 13 | wasn't at all answering my question. | 13 |
| 14 | MR. SCOTT LITTLE: He was providing | 14 |
| 15 | context to his answer. I would appreciate if you | 15 |
| 16 | would let him finish his answer. | 16 |
| 17 | MR. NASH: We can spend a lot of | 17 |
| 18 | time, Judge Simma -- | 18 |
| 19 | MR. SCOTT LITTLE: I've let you go | 19 |
| 20 | for a long time, Mr. Nash. Don't interrupt his | 20 |
| 21 | answer. | 21 |
| 22 | PRESIDING ARBITRATOR: I have the | 22 |
| 23 | impression, of course we have to -- we have to | 23 |
| 24 | listen to what the witness says, and how it kind of | 24 |
| 25 | fits, et cetera, but I also made an effort to see | 25 |

Page 1110
that ring true?
A. It sounds approximate, yes.
Q. That there were 20 mortalities from known or suspected fish entanglements; does that ring true?
A. That sounds correct.
Q. And that there were 21 that were
believed to be due to vessel strikes?
A. Right.
Q. In all Canadian and US waters.

So there was an equal number of suspected or known fish entanglement mortalities as vessel-related mortalities. Does that ring true to you?
A. That sounds correct. Those are the two main threats to the North Atlantic Right Whale, is gear entanglement and vessel strikes.
Q. Right, and that continues to be the case today?
A. It does, yes.
Q. And that continues to the case, particularly in the Gulf of St. Lawrence today?
A. Yes, which is one of the reasons they've made changes both for shipping and most recently for fishing activity.
Q. And there has not been a known
whether there was any interruption and I think it has gone quite well until now. So nothing compared to some of the things that happened at earlier days so let's -- Mr. Nash, you are, of course very much aware of that and so let's do our best.

Could you maybe speak up just a
little bit.
THE WITNESS: Sure, yes, sorry.
MR. NASH: Could I have the last question read out, please.
(Record read.)
I ask you that question again: In the period of 2005 to 2007, the evidence is that there were no Right Whale mortalities recorded, human caused in US or Canadian waters caused by large size, rule-sized vessels; do you agree with that?
A. None that I'm aware of, correct.
Q. Were you aware of the evidence before the JRP that DFO reported that for a 36-year period, from 1970 to 2006, there were 68 known Right Whale mortalities identified in all Canadian and US waters; were you aware of that?
A. Yes.
Q. And were you aware that there
were 21 for which there was not a known cause; does

Page 1111
Right Whale mortality in the Bay of Fundy since 2007; is that correct?
A. That sounds correct, yes.
Q. And there were -- was it 12 or 15
in the Gulf of St. Lawrence last year?
A. I believe the number was 12 in

Canadian waters, and then five in US waters. For a total of 17.
Q. So, 12 in the Gulf of

St. Lawrence (unclear) Newfoundland, last year alone?
A. Yes.
Q. Shocking?
A. Yes.
Q. The largest ever?
A. Represents a 3.7, sort of, rate
of mortality for that species.
Q. Right. And in the 34 -year period
between 1970 and 2004 there were four in the Bay of Fundy; correct?
A. Correct, yes.
Q. And last year alone, one year, one summer, there were 12 in the Gulf of St. Lawrence, and none in the Bay of Fundy; that's correct?
A. That's correct.
Q. And there hasn't been a whale mortality in the Bay of Fundy for 13 years, that's correct?
A. None reported.
Q. None known to be caused?
A. That's right.
Q. And that suggests to you something that the Right Whales, which used to go primarily to the Bay of Fundy have migrated north, along the east coast into the Gulf of St. Lawrence?
A. There's -- I guess that's a bit of a misconception. There have always been North Atlantic Right Whales that would use the Gulf of St. Lawrence, the population and the numbers vary year to year depending on food source and availability, so whether that's a permanent change or it's just something that happened in 2017 over the last couple of years, it could go back to, again, higher numbers in the Bay of Fundy next year. It's -- these are highly mobile species and they are chasing food sources, so where they end up we can't predict from one year to the next.
Q. Have you heard of Dr. Moira

Brown?

Page 1114
A. Yes.
Q. So, if I can refer now to fishing gear entanglements. Did you review the statistics cited by the DFO to the JRP regarding the incidents of Right Whale entanglements in fishing gear?
A. I would have looked at that, yes.
Q. Did you review the DFO's evidence
before the JRP that the Right Whale consortium, of which Dr. Jerry Conway is a member, that's right?
A. Yes.
Q. So Dr. Jerry Conway, the same

Dr. Jerry Conway who said in December of 2002 that he had no problem with the Whites Point Bilcon blasting plant with respect to marine mammals, and that was in December of 2002, that same Dr. Jerry Conway is now a member of the Right Whale consortium; correct?
A. That's correct.
Q. And the Right Whale consortium is devoted to the preservation of the Right Whale; correct?
A. Yes, that's correct.
Q. And the Right Whale consortium and the New England Aquarium, reported that 71 per cent of photographed Right Whales had fishing gear
A. Yes.
Q. Dr. Moira Brown is probably the lead researcher with respect to Right Whales in North America, would you agree with that?
A. Yes.
Q. She's at the New England

Aquarium; correct?
A. Yes.
Q. A large scientific body which studies Right Whales. In fact, Dr. Moira Brown was influential and perhaps determinative in the movement of the shipping lanes in 2003; is that correct?
A. That's correct.
Q. Have you come across any of her writings or any of the reports about her that have said that there is an unexplained scientific reason that no one knows yet as to why the Right Whales have left the Bay of Fundy and they're being seen in far fewer numbers than they ever were, and that they've now migrated to the Gulf of St. Lawrence up the east coast of Nova Scotia to get to the Gulf of St. Lawrence thus resulting in these significant whale strikes that had never historically been found; are you familiar with that?

Page 1115
entanglement scars; do you recall that statistics?
A. Yes.
Q. And are you aware of the evidence before the DFO that identified eight Whales that were then -- evidence before the JRP, DFO's evidence, identified eight Whales that were then currently entangled and that 33 had previously been identified as entangled Whales were then gear free; do you recall that evidence?
A. Yes, and again, whale
entanglement in fishing gear is not uncommon.
Q. It's a huge issue?
A. Yes.
Q. And Dr. Moira Brown has reported on the efforts to, not to ban fishing, not to ban shipping, but particularly not to ban fishing, but to have fishing gear redesigned so that it poses less of a risk of harm to Right Whales; correct?
A. Right. So the proposal is to put in additional mitigation for fishing gear at a cost to the fishing industry to redesign to reduce the entanglement so that would be a mitigation measure sort of specifically applied in areas that, most importantly, North Atlantic Right Whales would be found.

|  | e 1116 |  | Page 1117 |
| :---: | :---: | :---: | :---: |
| 1 | Q. Right. So, the result of the | 1 | couple of weeks was to actually potentially close |
| 2 | knowledge that fishing gear entanglement does occur | 2 | fishing activity or have fishers remove their gear |
| 3 | poses a serious risk of harm to North Atlantic Right | 3 | from an area if there are known Right Whales in that |
| 4 | Whales, leads to the development of mitigation | 4 | location. |
| 5 | measures to attempt to reduce the risk of harm to | 5 | Q. Well, was there a moratorium |
| 6 | the North Atlantic Right Whale; that's correct? | 6 | implemented last summer or at some point last year, |
| 7 | A. Yes. So in a case, for any | 7 | to close part of the fishery in the Gulf of |
| 8 | project, if you have mitigation measures that are | 8 | St. Lawrence after it was 98 per cent complete? |
| 9 | possible, then the department will be looking at | 9 | A. Right, so it was closed, ended |
| 10 | applying those, so whether that's fishing industry | 10 | early, yes. |
| 11 | or industrial activities, they would have tried to | 11 | Q. It ended early? |
| 12 | apply those mitigation measures to reduce harm. | 12 | A. Yes. |
| 13 | Q. So in the case of risk of harm to | 13 | Q. 98 per cent of it was done but it |
| 14 | a recognized endangered species of which there are | 14 | ended early; is that correct? |
| 15 | 350 or 320 depending on the statistics you read? | 15 | A. Yes, and that's typical for any |
| 16 | A. Yes. | 16 | fishing season, particularly crustaceans, is that |
| 17 | Q. In the case of that species, a | 17 | most of the fishing, most of the capture takes place |
| 18 | highly endangered species, the response of the DFO | 18 | in the first couple of weeks anyway. |
| 19 | is not to ban shipping or to ban fishing, it's to | 19 | Q. And there has been no banning of |
| 20 | look for reasonable mitigation measures which can | 20 | shipping in the Bay of Fundy; is that correct? |
| 21 | reduce the risk of harm to that species; that's | 21 | A. Correct. |
| 22 | correct? | 22 | Q. And there has been no reduction |
| 23 | A. That's correct. In some cases | 23 | in speed limits in the Bay of Fundy; that is |
| 24 | for fishing, so one of the measures that's recently | 24 | correct? |
| 25 | discussed and was announced just within the last | 25 | A. That's correct. |
|  | Page 1118 |  | Page 1119 |
| 1 | Q. And there has been a reduction in | 1 | mitigate those. |
| 2 | speed limits in the western Gulf of St. Lawrence in | 2 | Q. Right. And whale-watching was |
| 3 | order to try to mitigate the risk of harm with | 3 | identified by the DFO, referring to a study, as |
| 4 | respect to North Atlantic Right Whales in that | 4 | being the biggest cause of behavioural changes in |
| 5 | region; is that correct? | 5 | Right Whales; correct? In fact, all whales; |
| 6 | A. Yes. | 6 | correct? |
| 7 | Q. It's been reduced to 10 knots? | 7 | A. That we are aware of, the study |
| 8 | A. 10 knots, that's correct. | 8 | at that time for that area, yes. |
| 9 | Q. From 12 knots? | 9 | Q. Did you review the DFO's |
| 10 | A. I don't know what the set limit | 10 | reference before the JRP to a knowledge gap in DFO |
| 11 | is within the shipping lane but I know the reduction | 11 | with respect to the impact of whale-watching on the |
| 12 | was to 10 knots. | 12 | behaviour of whales? |
| 13 | Q. Did you review the DFO evidence | 13 | A. Yes. |
| 14 | before the JRP regarding the effect of | 14 | Q. Did you read the DFO's evidence |
| 15 | whale-watching on behavioural changes in Whales? | 15 | that there is acknowledgment among whale researchers |
| 16 | A. Yes, I would have looked at that. | 16 | that whale exposure to small vessels can interfere |
| 17 | Q. Did you read DFO's evidence | 17 | with the whale's ability to understand daily |
| 18 | referring to a 2006 study that reported | 18 | requirements of feeding? |
| 19 | whale-watching as being the biggest cause of | 19 | A. Right, and that's why we put in |
| 20 | behavioural changes in whales? | 20 | restrictions around vessels close to whales. |
| 21 | A. So, yes, we would have been | 21 | Q. Right. But whale-watching hasn't |
| 22 | looking at any type of vessel interaction with | 22 | been banned, has it? |
| 23 | whales that is causing behavioural effects. | 23 | A. No, there is mitigation measures |
| 24 | Q. Right. | 24 | applied. |
| 25 | A. And putting rules in place to | 25 | Q. Right. Are you familiar with the |


|  | Page 1120 |  | Page 1121 |
| :---: | :---: | :---: | :---: |
| 1 | environmental assessment of the Irving Oil LNG | 1 | The purpose of this map -- first of |
| 2 | Terminal in 2004? | 2 | all, who put together this map? |
| 3 | A. No, I wasn't involved with that | 3 | A. So this was put together with the |
| 4 | project. | 4 | data from DFO science and one of my staff who is a |
| 5 | Q. Are you aware at all either from | 5 | JIAS [phon] analyst plotted the information on this |
| 6 | your own involvement, which you didn't have | 6 | map. |
| 7 | involvement, apparently, but from the records of | 7 | Q. And this map was prepared for the |
| 8 | DFO, that this terminal project was to result in 100 | 8 | purpose of this proceeding? |
| 9 | to 150 of LNG super tankers travelling the shipping | 9 | A. It was, yes. |
| 10 | lanes through the Bay of Fundy every year? | 10 | Q. And this map is intended to show, |
| 11 | A. Again, I wasn't involved with the | 11 | if you look at Whites Point on the left-hand side, |
| 12 | review of that project. My counterpart who works in | 12 | do you see Whites Point Quarry and Marine Terminal? |
| 13 | the New Brunswick would have been reviewing that | 13 | A. Yes. |
| 14 | file. | 14 | Q. This map is intended to show |
| 15 | Q. If there was a DFO document that | 15 | there were many, many, many more Right Whales in the |
| 16 | said that you would stand by that? | 16 | Bay of Fundy; correct? |
| 17 | A. I would have to see the document. | 17 | A. It shows the relative sightings |
| 18 | Q. Are you aware that the project | 18 | of Right Whales in that area. |
| 19 | was -- this LNG project was approved under CEAA | 19 | Q. It shows the sightings? |
| 20 | taking the effects on Right Whales into account? | 20 | A. Yes. |
| 21 | A. Again, I'm not familiar with the | 21 | Q. Over what period? |
| 22 | project itself. | 22 | A. So this would go from 1975 up to |
| 23 | Q. Could I take you to tab 2. This | 23 | 2015. |
| 24 | is exhibit R -769. This is a Government of Canada's | 24 | Q. So for a 40-year period it shows |
| 25 | exhibit. | 25 | the sightings? |
|  | Page 1122 |  | Page 1123 |
| 1 | A. That's correct. | 1 | A. These would just be actual |
| 2 | Q. Now, Right Whales have been known | 2 | sightings. So this isn't a sort of evaluation of |
| 3 | for decades to aggregate in the Grand Manan Basin? | 3 | the unit effort. These are just actual sightings. |
| 4 | A. That's correct, yes. | 4 | Q. These are actual sightings as |
| 5 | Q. And there was a large | 5 | reported? |
| 6 | conservation area created to assist and protect and | 6 | A. Yes. |
| 7 | reduce the risk in harm to Right Whales; correct? | 7 | Q. Sightings are only sightings if |
| 8 | A. Yes, and it has now been since | 8 | people see them and they are looking for them; |
| 9 | identified as the critical habitat area, one of the | 9 | correct? |
| 10 | two, yes. | 10 | A. Yes. |
| 11 | Q. Do you know that the ships that | 11 | Q. So there can be areas which don't |
| 12 | would be travelling from Whites Point to New York | 12 | show a lot of sightings because there is no nobody |
| 13 | and/or New Jersey would not be going through the | 13 | looking for them there; isn't that correct? |
| 14 | conservation area and were never planned to go | 14 | A. Yes, and so obviously, I mean |
| 15 | through the conservation area? | 15 | that is the -- the issue with sightings is that you |
| 16 | A. That's correct, yes, yeah. | 16 | would have more where people are looking, where |
| 17 | Q. I'm going to suggest to you that | 17 | there's more effort. Now, the sighting is not just |
| 18 | this map is intended to show that there are many, | 18 | done by scientific opportunity; it would be done by |
| 19 | many more North Atlantic Right Whales in the Bay of | 19 | fishing vessels, whale-watching operations, marine |
| 20 | Fundy than there are in other locations? | 20 | mammals observers on commercial vessels. So the |
| 21 | A. Right. That would show there are | 21 | data would be collected from a number of different |
| 22 | sightings outside those conservation areas, so the | 22 | sources. |
| 23 | critical habitat area. | 23 | Q. And the purpose of putting this |
| 24 | Q. Now, sightings are measured by | 24 | map together by you was to illustrate, was it not, |
| 25 | unit effort; that's correct? | 25 | to contrast the number of sightings of North |


|  | Page 1124 |  |
| ---: | :--- | ---: |
| 1 | Atlantic Right Whales in the Bay of Fundy over that | 1 |
| 2 | 40-year period? | 2 |
| 3 | A. Yes. | 3 |
| 4 | Q. As compared to the sightings | 4 |
| 5 | along the east coast of Nova Scotia and if you | 5 |
| 6 | follow up, you will see Black Point and a star | 6 |
| 7 | towards the top of Nova Scotia, just near the Cape | 7 |
| 8 | Breton Island; do you see that? | 8 |
| 9 | A. That is correct, yes. | 9 |
| 10 | Q. And it was to show that there | 10 |
| 11 | have been many, many more sightings in the Bay of | 11 |
| 12 | Fundy than there have been on that shipping route up | 12 |
| 13 | the east coast of Nova Scotia to the Black Point? | 13 |
| 14 | A. That's correct, yes. | 14 |
| 15 | Q. And we see too that there are | 15 |
| 16 | very, very few red dots in the Gulf of St. Lawrence; | 16 |
| 17 | do you see that? | 17 |
| 18 | A. That's correct, yes. | 18 |
| 19 | Q. Very few recorded sightings of | 19 |
| 20 | Right Wales in the Gulf of St. Lawrence that the DFO | 20 |
| 21 | has. | 21 |
| 22 | A. Yes, so that data -- | 22 |
| 23 | Q. And we know that 12 Right Whales, | 23 |
| 24 | there were 12 Right Whale mortalities in one summer, | 24 |
| 25 | last summer -- | 25 |

Page 1126
review a few of the caveats and disclaimers in this map. At the very top:
"Please note that the data do not
include sightings from the North
Atlantic Right Whales consortium
settings database that are
included in the species
distribution models. We are not
allowed to publicly share that
data." [As read.]
So there is data that is available
that is not included on this map; that's correct?
A. That's correct, yes. So I
checked with our DFO researchers and asked them, you
know, this is -- it's data that is proprietary to
that organization. They don't release it. They are
familiar with the data and I asked them, would it
substantially change, like addressing things --
Q. I didn't -- this is not a
question I asked you, and I don't want the evidence of somebody else who isn't here to be cross-examined on the full evidence, so, I would ask you to confine your answer to my question. And that question is: The data is missing. Some data is incomplete, that's correct?
A. And that it was 2017, this data goes up to 2015, so if we are able to -- once the map is updated then it's obviously going to show more sightings within the Gulf of St. Lawrence.
Q. Right. So this just goes to 2015?
A. That's correct, yes. And we did -- I did get the data, unfortunately it didn't come out in time for this, but for 2016, which shows additional plots in the Gulf of St. Lawrence.
Q. I think we better just leave that off the record.
A. Okay.
Q. Because this is what you
presented and this is what we are dealing with.
Can you go to page 2?
PRESIDING ARBITRATOR: Sorry. Could you just repeat what you just said. I didn't understand it. I think --

MR. NASH: He was about to give evidence on some updating of this document, and I said we better leave that off the record because this is the document we are referring to.

PRESIDING ARBITRATOR: Thank you. MR. NASH: If you go to page 2 , let's

Atlantic Right Whales in the Bay of Fundy over that
A. Yes.
there were 12 Right Whale mortalities in one summer,
the middle of New Brunswick, you would probably remove that from the database and say that that is not a correct data entry.
Q. Who typed up this page, by the way, was that you or was it somebody else?
A. This is the standard caveats that would go with the data that's provided because this data would be provided for numerous projects or information so, whether that's someone doing environmental assessment for a project would get access to this data to produce an environmental assessment report, they would provide this standard caveat with this data every time.
Q. Let's run through these caveats:
"The sighting data have not been error checked."
I am at number 1 .
"The quality of some of the
sighting data is unknown. Most
sightings are collected on an opportunistic basis and observations may come from individuals with a variety of expertise in marine mammals identification experiences.
have efforts like whale-watching activities, although whale-watching activities tend to aggregate around where actual whales are, then you would have less effort in those areas, it doesn't mean that there is no effort in those areas because we would have shipping vessels that would have been coming out of the Strait of Canso that would have people sighting for marine mammals.
Q. Well, that's your logical conclusion but that's not anywhere in the evidence.

What this is saying is that lack of sightings do not represent lack of species present in a particular area, and you would agree with that?
A. That's right. That's right, yes.
Q. And number 5:
"Numbers of sightings have not
been verified, especially in
light of significant differences
in detectability among species."
[As read.]
So there is another qualification.
These numbers haven't been verified.
A. That's correct, yes.
Q. And number 6:
"For completeness, the data

$$
\begin{aligned}
& \text { Most data have been gathered from } \\
& \text { platforms of opportunity that } \\
& \text { were vessels-based." [As read.] } \\
& \text { What does that mean? } \\
& \text { A. So these aren't specific } \\
& \text { whale-sighting activities and so we would have, } \\
& \text { whether that be fishing vessels, whether that be } \\
& \text { seismic off-shore vessels that would have marine } \\
& \text { mammal observers, so these aren't specific to sort } \\
& \text { of looking for whales; these would be opportunistic, } \\
& \text { someone sighting whales as part of some other work } \\
& \text { that they were undertaking. } \\
& \text { Q. Number 4: } \\
& \text { "Sighting effort has not been } \\
& \text { quantified, that is the numbers } \\
& \text { cannot be used to estimate true } \\
& \text { species density or abundance for } \\
& \text { an area. Lack of sightings do } \\
& \text { not represent lack of species } \\
& \text { present in a particular area." } \\
& \text { [As read.] } \\
& \text { A. Yes. } \\
& \text { Q. And that would be the case for } \\
& \text { the eastern coast of Nova Scotia; isn't that right? } \\
& \text { A. That's right. So if we don't }
\end{aligned}
$$

$\qquad$
represent an amalgamation of sightings from a variety of years and seasons. Effort is not necessarily consistent among months, years, and areas. There are large gaps between years." [As read.]
It gets back to my point about
effort. There are -- there is a measure of -scientific measure of sightings called sightings per unit effort, SPUE?
A. Correct, yes.
Q. And these data would that take that into account; correct?
A. That's correct, yes.
Q. So if there is a significant
effort to see that there are whales in a particular area such as the Grand Manan Basin where they are known to go, that would increase the sightings per unit effort; correct?
A. Right, yes.
Q. And if there is a particular lack of effort for sightings in another area, it doesn't mean they aren't there, is what I take this to say, it means that they haven't been sighted and there
has been less effort in trying to sight them; correct?
A. Correct, but I'll sort of explain sort of the way it works, that there would be more sightings, obviously, where there are more whales.

The other thing to understand with this data too is that it is really just to provide a relative distribution of whales in the area, so it doesn't provide abundance. But we also use other things like spatial distribution models and those spatial distribution models are not just based on sighting information, but also based on availability of food sources.

So we have detailed information on phytoplankton abundance and phytoplankton is used as a surrogate to determine productivity in an area, so we know where these upwellings that cause phytoplankton blooms and therefore secondary product activity such as copepods which are cell plankton, which the whales feed on, those densities would also reflect likelihood of where those whales would be.

So, when you overlay that data along with the sighting data there is a high level of correspondence.
Q. Yes, and Dr. Smedbol could easily

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## A. To get to the Gulf of

St. Lawrence? Yes.
Q. Yes. And at the very bottom, number 9, you, the user:
"... recognize the
limitations" -- apparently of which there are many" -- of the data and understand that DFO does not warrant or guarantee the accuracy, completeness or currency of the data for any specific use." [As read.]
That's correct?
A. That's correct. So, and I guess this is to explain how scientific data is collected and used, and so in a case like this, there is a lack of precision, and science deals in precise measurements when they are producing documents, or providing information and data, and so there could be errors in this and there are likely errors in this.

The information that we go on is the quantity of data that's provided, produces the picture and so for this database we have over 115,000 sighting database points on this, and so

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for -- there is likely to be errors when you collect 115,000 data points, but it is the 40 years of data collected, seeing the trends which provides the information basis to understand where the likely whale sightings will be.

So the caveats are in there to be precise that somebody isn't sort of using a single point and saying well, there was definitely a Right Whales here, we can't do anything, that error point may be incorrect.

Now, if there are 50, 60, 70 points
in that area, it provides a higher degree of confidence that that trend is reflected in the data.
Q. Those are the limitations of the
data?
A. Yes.

MR. NASH: Right.
So, Judge Simma, I see that it's
$10: 25$. Would now be an appropriate time for the morning break? I do have a few more questions. PRESIDING ARBITRATOR: How many?
MR. NASH: I probably have -- I could look through my notes over the break and try to narrow it down and reduce the time.

PRESIDING ARBITRATOR: Shall we have

|  | Page 1136 |  | Page 1137 |
| :---: | :---: | :---: | :---: |
| 1 | the break now? | 1 | A. Sorry, in which year? |
| 2 | MR. NASH: If that's the will of the | 2 | Q. In 2012. That only 42 Right |
| 3 | tribunal. | 3 | Whales were seen in the Bay of Fundy? |
| 4 | PRESIDING ARBITRATOR: Of course. So | 4 | A. I'm -- I haven't seen that, |
| 5 | we are going to have the coffee break from up to | 5 | but... |
| 6 | 10:40. | 6 | Q. Would you accept it? |
| 7 | --- Recess taken at 10:22 a.m. | 7 | A. Yes. Yeah, so there have been -- |
| 8 | --- Upon resuming at 10:44 a.m | 8 | I can't remember the percentages, but they -- it's |
| 9 | PRESIDING ARBITRATOR: I think we are | 9 | like 20 per cent of the population in some years. |
| 10 | ready to continue, Mr. Nash, you have the floor | 10 | So it's gone up over 50 per cent. Some years down |
| 11 | again. | 11 | to, in the range of 10 to 20 per cent and then gone |
| 12 | MR. NASH: Mr. McLean, were you aware | 12 | up again so it varies, fluctuates from year to year. |
| 13 | when you signed your statement -- first of all, can | 13 | Q. So for that year, the 42 Right |
| 14 | I ask you this: Do you know Lee Harris? | 14 | Whale sightings in the Bay of Fundy was concluded by |
| 15 | A. Yes. | 15 | Lee Harris to be the lowest number recorded since |
| 16 | Q. Lee Harris is a biologist with | 16 | 1988; would you dispute that? |
| 17 | DFO? | 17 | A. No. |
| 18 | A. Yes. | 18 | Q. And that was before the change in |
| 19 | Q. And is he a research biologist | 19 | the international shipping lanes; correct? |
| 20 | with DFO? | 20 | A. That's correct, yes. |
| 21 | A. She is, yes. | 21 | Q. Were you aware when you signed |
| 22 | Q. And were you aware when you | 22 | your statement that DFO had reported in 2016 that |
| 23 | signed your statement that Lee Harris concluded that | 23 | 95 per cent of Right Whale incidents in Atlantic |
| 24 | in 2012, in that year, only 42 Right Whales were | 24 | Canada in the years 2008 to 2014, that were |
| 25 | seen in the Bay of Fundy? | 25 | reported, the incidents that were reported involved |
|  | Page 1138 |  | Page 1139 |
| 1 | fishing gear entanglements; would you dispute that? | 1 | Q. And so you and Mr. Murphy drafted |
| 2 | A. No. | 2 | this memo together? |
| 3 | Q. Does that sound correct? | 3 | A. That's correct. |
| 4 | A. That sounds correct. | 4 | Q. And it was drafted for Faith |
| 5 | Q. Could you turn, please, to tab | 5 | Scattelon who was the -- was she the Director |
| 6 | 10. This is a memorandum for the ADM Oceans and | 6 | General at that time? |
| 7 | Habitat? | 7 | A. She was the Regional Director |
| 8 | A. Right. | 8 | General, yes. |
| 9 | Q. Sorry, have you got it? | 9 | Q. And so this is a memorandum from |
| 10 | A. Yes, yeah. | 10 | Faith Scattelon, Regional Director General to the |
| 11 | Q. And this is Exhibit C-869. And | 11 | Associate Deputy Minister of Oceans and Habitat |
| 12 | when we see ADM, is that Associate Deputy Minister | 12 | which is drafted by you and Mr. Murphy and signed by |
| 13 | or Assistant Deputy Minister? | 13 |  |
| 14 | A. The Associate Deputy Minister. | 14 | A. Yes. |
| 15 | Q. And the way I read this, but you | 15 | Q. And if we go back to the first |
| 16 | can confirm, if you go to the final page of the | 16 | page, the way I understand this, and you can correct |
| 17 | document, I read this as being a document that was | 17 | me if I'm wrong, if you go into that box, the |
| 18 | drafted, at least in part, by you? | 18 | summary box, the second bullet from the bottom, it |
| 19 | A. That's correct. | 19 | says: |
| 20 | Q. Was it -- who -- was M. Murphy an | 20 | "The two weeks of panel hearings |
| 21 | assistant to you or -- | 21 | commenced on June 16th, 2007 and |
| 22 | A. He would have been the | 22 | in Digby Nova Scotia, DFO |
| 23 | director -- | 23 | presented to the panel on |
| 24 | Q. And so you -- | 24 | June 20th on the potential |
| 25 | A. -- at the time. | 25 | impacts on fish habitat from |


|  | Page 1140 |  | Page 1141 |
| :---: | :---: | :---: | :---: |
| 1 | blasting on marine mammals, fish | 1 | blasting once every two weeks, |
| 2 |  | 2 | and the mitigation measures |
| 3 | and lobster, shipping impacts on marine mammal invasive species | 3 | proposed, monitoring for whales |
| 4 | and constructive activities." [As | 4 | prior to blasting, it is expected |
| 5 | read.] | 5 | that any impacts would be |
| 6 | The way I'm reading this is that this | 6 | minimal." [As read.] |
| 7 | memo was drafted after those hearings and was a | 7 | Now was that your characterization or |
| 8 | report from Ms. Scattelon to the Associate Deputy | 8 | was that the characterization of scientists working |
| 9 | Minister on the status after those hearings? | 9 | at DFO ? |
| 10 | A. That's correct, yes. <br> Q. And what went on in those | 10 | A. That was the characterization of |
| 11 |  | 11 | us at DFO looking at all of the evidence and then |
| 12 | hearings? | 12 | qualified that with the uncertainties around the |
| 13 | A. That's correct, yes. | 13 | ability to monitor, detect whales within that |
| 14 |  | 14 | blasting zone. |
| 15 | the last bullet at the bottom. In your draft signed | 15 | Q. And that's handled by the next |
| 16 | by Ms. Scattelon you described that there were six pages of comments from DFO including concerns about | 16 | sentence: |
| 17 |  | 17 | "However, given the |
| 18 | the potential behaviour impacts of noise on marine | 18 | uncertainties, DFO has requested |
| 19 | mammals, particularly on endangered species and the | 19 | that monitoring be conducted on |
| 20 |  | 20 | behavioural impacts to marine |
| 21 | requirements under the Species at Risk Act, effects of blasting on lobster and conflicts with in-shore | 21 | mammals, particularly for Species |
| 22 | fishing activities, and if you go down to the third | 22 | at Risk and for any impact on |
| 23 | line, fourth line from the bottom on the right-hand | 23 | lobster if the project proceeds. |
| 24 | side it says: | 24 | Monitoring for impacts on Species |
| 25 | "Given the low frequency of | 25 | at Risk is also a requirement of |
|  | Page 1142 |  | Page 1143 |
| 1 | Species at Risk Act." [As read.] | 1 | the Minister or not. |
| 2 | So the recommendations from the DFO through up through to the Associate Deputy Minister | 2 | MR. NASH: Thank you, Mr. McLean, |
| 3 |  | 3 | those are my questions. |
| 4 | was for to minimize impacts, to have monitoring and | 4 | PRESIDING ARBITRATOR: Thank you, |
| 5 | to have continuous monitoring to see what the impact | 5 | Mr. Nash. So re-direct will be done by Mr. Klaver. |
| 6 | was after the project began; that's correct? | 6 | RE-EXAMINATION BY MR. KLAVER: |
| 7 | A. If the project had proceeded and there was a recommendation, then we would certainly | 7 | MR. KLAVER: Mr. McLean, Mr. Nash |
| 8 |  | 8 | noted numerous times that you are a manager of |
| 9 | want to see mitigation measures and monitoring in | 9 | research scientists. Could you please explain what |
| 10 | place to ensure that impacts didn't happen. | 10 | level of scientific understanding you need in order |
| 11 | Q. And so this information, you | 11 | to manage the primary researchers? |
| 12 | would expect to go up the chain to the Deputy | 12 | A. To manage the primary |
| 13 | Minister of Fisheries? | 13 | researchers? So just to clarify, so my position, I |
| 14 | A. I'm not sure once it goes up to | 14 | don't manage research scientists. I manage the |
| 15 | the ADM. I'm assuming, but I have no knowledge or | 15 | biologists and I'm a biologist myself. I manage |
| 16 | information to determine how far this memo went | 16 | those biologists that do the impact assessments of |
| 17 | beyond the ADM. | 17 | projects. |
| 18 | Q. And would it be fair to project | 18 | We also have a separate DFO science |
| 19 | that the information, in some form or another, | 19 | team that we work in collaboration with that would |
| 20 | whether by this memo or in another form would | 20 | do, again, primary research on species. On the |
| 21 | somehow find its way before the eyes of the Minister of Fisheries and Oceans? | 21 | management side, we take that primary research and |
| 22 |  | 22 | apply it to potential impacts of projects that would |
| 23 | A. I have no way of determining, | 23 | have impacts on fish, fish habitat, marine mammals |
| 24 | sort of how far -- once it leaves sort of the | 24 | and such. |
| 25 | regional, it is up to them whether they bring it to | 25 | Q. And in managing the scientists, |

would you need a certain level of scientific understanding?
A. Yeah. So our classifications is as biologists within the department and so even my classification as a manager, and as a manager it's not just, you know, doing paperwork and finances and stuff. I wish I just had to do that. But I also do review the assessment of project impacts as I have done since starting my career, even with Nova Scotia Environment. So most of my staff would either have a BSC-in biology or a lot of them have Masters degrees and that's on the application and then, obviously, in the science side a lot of the researchers would have PhDs in their areas of specialization.
Q. Now, Mr. Nash asked you about being the lead role for DFO at the Whites Point Project. And he referred to paragraph 4 of your first statement about the time you returned to DFO in 2005.

Could you explain your role as the lead role of DFO for the Whites Point Project?
A. So as environmental analyst with the major projects group, I was responsible for all the evaluation and coordination. And so that would

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range of potential impacts on whales from a project like this, including shipping and blasting?
A. So mitigation measures that would be applied?
Q. Yes.
A. So obviously similar to the
mitigation measures that were applied in the Gulf of St. Lawrence, they could potentially put speed restrictions on vessels transiting through areas that may have endangered species like the North Atlantic Right Whale. And it could go to various things like having only blasting during conditions when Right Whales could be sighted. So in fog or bad weather conditions, if visibility was poor, they could put restrictions around activities such as blasting.

Some of the challenges around mitigation measures for this type of project, because these type of operations aren't necessarily in control of shipping operations and so they wouldn't necessarily have control over a vessel once it left the port so we've had concerns in the past about how able either DFO or Canadian Environmental Assessment Agency is to enforce these mitigation measures.
be working with our science team to collect information, doing the evaluations myself, collecting a lot of the primary literature around impacts. So impacts of blasting on lobster, we would have reviewed that. Myself specifically made determinations on sort of level impact, reviewed that with the science team and then provided all the material in preparation for the hearing, as well as any correspondence with the Canadian Environmental Assessment Agency.
Q. Now, Mr. Nash also asked you about Right Whales moving up to the Gulf of St. Lawrence from the Bay of Fundy. Is the Bay of Fundy still a critical habitat for the Right Whales?
A. So the two areas of critical habitat that are identified would be the Grand Manan Basin that was on the map that was put up, as well as the Roseway Basin which is off the southwest of Nova Scotia.
Q. Now, Mr. Nash asked you about fishing nets and other causes of Right Whale mortality or behavioural effects. He discussed mitigation measures for these effects.

Could you explain what type of mitigation measures could apply for the broader

Page 1147
Q. Okay. Mr. Nash also asked about the data behind the whale sightings map.

So, Derek, I'm wondering, could we pull that map up on the screen please?

Now, Mr. Nash asked about some of the caveats to this map. Could you explain what level of scientific certainty underlines the data in this map?
A. Yeah. And, again, this is really just based on sighting information but the information is then backed up with doing spacial distribution models which give us a higher degree of certainty where those species are found. So as discussed earlier, you know, there are locations where we would have low numbers of sighting availability and part of that becomes, you know, we have whale-watching on vessels that operate obviously in the Bay of Fundy. They are not along sort of the eastern shore of Nova Scotia for the reason that there are very few whales there and so these operations don't exist there.

But this information is then backed up, as I mentioned, with spacial distribution model using prey abundance for whales and specifically for North Atlantic Right Whales we would have a good
indication of where those prey species would occur based on ocean temperature, oceanographic conditions. And so areas like the outer Bay of Fundy is a prime area, but also the Gulf of St. Lawrence where you have mixing of fresh water and sea water, you have these deep water upwellings that provide nutrients and these nutrients feed that secondary production which the whales are looking for.
Q. Can you explain how DFO screens and collects the data behind this map?
A. So, for most of the time the information is provided in data sheets, again, from opportunistic, like fishing vessels, commercial vessels, whale-watching opportunities but also scientific data that they would provide information on the whale, the sighting information, species identification if that's available and that's not always available depending on conditions. And so that information is provided to DFO, it goes into a database, that database is then checked twice annually for any errors that may be evident within the data, and then once it's cleaned, then it goes into the final database.
Q. Okay.

Page 1150
Quarry.
MR. KLAVER: Thank you.
PRESIDING ARBITRATOR: Thank you, Mr. Klaver.

Any comment by Mr. Nash? You can also speak from where you are.

MR. NASH: I don't want to speak behind Mr. McLean's back.

THE WITNESS: You wouldn't be the first.
FURTHER CROSS-EXAMINATION BY MR. NASH:
MR. NASH: Were you instructed to
pose only that question as between Whites Point and Black Point?
A. That's the question that was
presented to me was the relative difference between those two locations.

MR. NASH: Right. Thank you.
PRESIDING ARBITRATOR: Thank you,
Mr. Nash.
QUESTIONS FROM THE ARBITRAL TRIBUNAL: PRESIDING ARBITRATOR: I have two questions. And the first one, could you just -- the use of the term "unit efforts" wasn't clear to me. That was used in -- is like something the sightings
A. And it's -- I should add too that the data has a scoring as well. And so depending on the source of the information, so, something like a whale-watching operation which obviously has years of experience in identifying whales or marine mammal observers on commercial vessels would have specific training in whale identification, those data points get a higher sort of score of reliability.

If it's questionable about whether or not this is a North Atlantic Right Whale that is identified, then that just goes into general whale sighting. So we are more confident in the data when we are looking at species identification because we are only using the most reliable data for the sighting information.
Q. Okay. And Mr. Nash also asked you about the effects of the project, the projects at Whites Point and Black Point on the lobsters.

To prepare your statement, were you asked to assess the actual effects of the project or the relative abundance of lobster in the lobster fishery between the two sites?
A. I was asked to look at the relative lobster abundance between the two locations, Black Point Quarry and Whites Point

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were measured by units efforts. What precisely does that mean?

THE WITNESS: So when you are collecting any data, you look at the unit effort that is used to collect the data so the number of, for example, vessels that would be in the area relative to the amount of sightings. So an area that had low vessel traffic if we were talking about marine mammal would have, if you came up with a number of one sighting, well, that's based on how many hours that vessel was in the area. The vessel was there longer, saw the same, then the more effort put in relative to the amount of species that you are finding.

The same would go with any type of sampling. So if you were laying out a net, if the net was out for three days, it is to compare the relative amount of information. So if you had two locations, you set a net for three days, you caught three fish and you went to another location and set it out for three weeks, the effort there is much higher. So you want to be able to relatively compare the two data sets based on the amount of effort you take in sampling.

PRESIDING ARBITRATOR: My second
question as a personal background, I have a grandson who is becoming a scientist and studies marine biology in Australia. And he sent me a few draft papers and said, "Opa, could you just kind of review my English, et cetera?" And that brings me to the following question: What do you mean when you say that as a -- that you say that you assess reports or projects by scientists as a nonscientist. What do you do as a nonscientist when you assess -- let's say, documents, project research, results, et cetera by scientists?
A. So, no, my job is to assess project proposals. I review primary literature so science publication literature or draft literature. I don't provide comments or feedback on primary research document by science. What I do is review project proposals by proponents for industry and provide comment and feedback on that.

Often I use publication literature or research data such as, like the unpublished data, like the whale distribution to evaluate potential impacts. But I'm not a, you know, a reviewer. I have reviewed literature document, like published literature documents as part of science reviews in

## Page 1154

have a vessel with air guns behind them. So we review a lot of the primary research literature on things like noise propagation, responses to marine mammals, those things, in order for us to have that knowledge to evaluate the potential impacts of those projects on species such as whales.

So we are applying what would be scientific literature to a very specific project and using that as part of the evaluation, as well as working with those research scientists that have that direct knowledge through their primary research.

PRESIDING ARBITRATOR: And there is no problem, you don't see a problem in non-biologists, non-mineralogists, et cetera, engage -- studying primary, and then giving an assessment of what the experts, the real experts have said and done and proposed in their papers?

THE WITNESS: Well, I am a biologist so my undergraduate degree is in biology. I have my Masters in Environmental Studies. So the application of science and the review of projects. So I've spent close to eight years at university studying and doing this kind of work including areas in the Bay of Fundy. So my qualifications along
the past and will provide feedback on that, but
those are the ones that I would have been involved in.

PRESIDING ARBITRATOR: I think, Professor, it has been for me quite a while since I left academia under these practical considerations, but a lot of what professors now do is assessing projects of others or projects of the, let's say, people under their, more or less -- in their institutions?
A. Yes.

PRESIDING ARBITRATOR: But I understood you as saying you are doing more than just assessing a project with regard to the duration of a project.

Is it reasonable for that project to be finished within two years? As you are doing more. You said you study primary research --

THE WITNESS: Research document.
PRESIDING ARBITRATOR: -- scientific research?

THE WITNESS: Yes, that would apply to a project. So a good example would be we review oil and gas seismic surveys and these are -- seismic surveys would be using noise in the marine environment, determine oil and gas deposits. So you

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with close to 20 years of doing environmental impact assessments can apply that knowledge and so I feel comfortable in reviewing and I did this is a part of my undergraduate degree, reviewing and producing sort of internal papers, not for publication, but for evaluation.

And so my background, as well as my the background among my staff are well qualified to do evaluations and then we are just supported through either the primary literature, all the stuff that's documented or through our research scientists as to any specific questions as to the application of it.

PRESIDING ARBITRATOR: Thank you.
THE WITNESS: You're welcome.
PRESIDING ARBITRATOR: No further
questions. That brings to an end the cross-examination. Thanks for your cooperation -your presence and cooperation.

I think we should, without further
ado, ask for Mr. Bickford, correct?
Welcome back, Mr. Bickford.
THE WITNESS: Now I can say "good morning."
AFFIRMED: MR. GEORGE BICKFORD

today about your testimony. So you see that we have a small binder there beside you, in front of you there which contains some documents that I may refer you to as I go through my questions.

We will also bring up those documents on the screen so if it's easier to reference them there, you can do that.

If at any point, you don't understand my question, please let me know and I'll do my best
to reframe it because it is important for us to understand each other.

My first question is: Can you confirm for the record that you have not spoken with anyone about your testimony since you last appeared before the tribunal?
A. Yes.
Q. And before I get to the rest of my questions, I think we should enter a confidential session.
--- Upon commencing confidential session under separate cover at 11:11 a.m.

MS. ZEMAN: In working with clients to design their projects, you have to understand their objectives; is that right?
A. Absolutely.
Q. And with respect to the Whites Point Quarry you had many conversations with Mr. Wall about his operational objectives; correct?
A. I did.
Q. Would it be fair to say you had a good understanding of the objectives of the Whites Point Quarry?
A. I did.
Q. The production goal for the plant

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A. Correct.
Q. So, I think we have you have a pretty good sense of

A. Correct.

A. Correct.






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Q. So if -- I'm going to try to use some technology here. Bear with me. Oh, there we go.

So if you look at

-- let's see if I can -- yeah, that's, anyways, this one here, just to identify it on the page for you.
A. That's correct.

Q. Okay.
A. Yes.

A. Yes, it's got the same stamp on
it.






|  | Page 1192 |
| :---: | :---: |
| 1 |  |
| 2 | Q. Right. Okay. And I'd like to |
| 3 | come to that in a moment but I just wanted to make |
| 4 | sure that we are all up to speed on the |
| 5 |  |
| 6 |  |
| 7 |  |
| 8 |  |
| 9 | Q. Yep, we'll come to that in a |
| 10 |  |
| 11 | A. Okay. |
| 12 |  |
| 13 |  |
| 14 | A. Yes, the |
| 15 |  |
| 16 |  |
| 17 | Q. Both of those things. |
| 18 |  |
| 19 |  |
| 20 |  |
| 21 | A. Right, |
| 22 |  |
| 23 |  |
| 24 | Q. Mm-hmm. Okay. |
| 25 |  |

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Page 1202
Page 1203






|  | Page 1220 |  | Page 1221 |
| :---: | :---: | :---: | :---: |
| 1 | witness statement. It is page 5 , sir. | 1 |  |
| 2 | A. Just give me a second. I don't | 2 |  |
| 3 | know why I'm seeing something different. I've got | 3 |  |
| 4 | you; reply. | 4 |  |
| 5 | Q. Can I just approach the witness | 5 |  |
| 6 | to assist him in locating the -- | 6 |  |
| 7 | A. Page 5. | 7 |  |
| 8 | Q. You have page 5 of paragraph 27 | 8 |  |
| 9 | of your first statement in front of you? | 9 |  |
| 10 | A. I do, at the bottom of the page. | 10 |  |
| 11 | Q. And I will just read that: | 11 |  |
| 12 | "At the detailed design stage, | 12 |  |
| 13 | LB\&W also replaces the 'small | 13 |  |
| 14 | scale general arrangement' | 14 |  |
| 15 | drawings with larger scale and | 15 |  |
| 16 | more detailed 'general | 16 |  |
| 17 | arrangement' drawings that among | 17 |  |
| 18 | other things provide sufficient | 18 |  |
| 19 | information for procurement of | 19 |  |
| 20 | materials and equipment, the | 20 |  |
| 21 | creation of drawings necessary | 21 | Q. Ms. Zeman asked you as well about |
| 22 | for fabrication and the issuance | 22 |  |
| 23 | of building permits." [As read.] | 23 | do you recall those |
| 24 | My question is whether | 24 | questions? |
| 25 |  | 25 | A. Yes. |
|  | Page 1222 |  | Page 1223 |
| 1 |  | 1 |  |
| 2 |  | 2 |  |
| 3 |  | 3 |  |
| 4 | A. Let me think about those | 4 |  |
| 5 |  | 5 |  |
| 6 |  | 6 |  |
| 7 |  | 7 |  |
| 8 | We didn't need it. | 8 |  |
| 9 |  | 9 |  |
| 10 |  | 10 |  |
| 11 |  | 11 |  |
| 12 |  | 12 |  |
| 13 |  | 13 |  |
| 14 |  | 14 | Q. You were also shown a document by |
| 15 |  | 15 | FTI Consulting; do you recall that document? Well, |
| 16 |  | 16 | it was a table included in a document that |
| 17 |  | 17 | referenced |
| 18 |  | 18 |  |
| 19 |  | 19 | A. Oh, you mean today? |
| 20 |  | 20 | Q. Today, yes, I'm sorry, Ms. Zeman |
| 21 |  | 21 | referred you to a document -- |
| 22 |  | 22 | A. Yes, yes. |
| 23 |  | 23 | Q. -- it was a document by FTI |
| 24 |  | 24 | Consulting? |
| 25 |  | 25 | A. I saw that, yes. |

Q. You recall that, sir?
A. I do.
Q. Do you have any understanding of what the author of that document
A. None. I have no clue.
 about AggFlow.

A. AggFlow to my knowledge, and it is a wonderful program. It is so comprehensive. It's got so much depth of research. AggFlow came into being around '94. I won't swear to that, but I
believe so, middle of '90s.
I saw it at a convention in Las Vegas for producers. It is the biggest aggregate producer's trade show and I saw AggFlow and I fell in love with it.

In '98 I bought AggFlow for I think $\$ 1,200$. And I would go to clients, to customers of ours in aggregate-producing plants and we'd sit and play "what if" with their plants; just set it up in 15 minutes you could put all the icons in place for their plant and the plant maintenance manager would sit there and he'd tell me what screen sizes he has and we'd run it.

I'll bet you, and I'm sorry I didn't have commission on it. I'll bet you I sold more than 40 of those programs because they made life so simple for the operator. He could see it before he made the change instead of making the change and finding out what the result was.

AggFlow is an absolutely excellent piece of software for guys like us.
Q. And Mr. Bickford, you were asked about from AggFlow. I'm just going to ask you to turn to that document, please, sir. It's tab 6 of the binder in front of

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    you.
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| 9 |  | 9 |  |
| 10 | MR. JOHNSTON: May I have a moment to | 10 | Q. I'm sorry, Mr. Bickford, this is |
| 11 | confer with my colleagues, Judge Simma, members of | 11 | tab 6 of the binder in front of you? |
| 12 | the tribunal? | 12 | A. That's correct. It is tab 6 of |
| 13 | PRESIDING ARBITRATOR: Yes, | 13 | the binder. The jargon in the industry is that that |
| 14 | Mr. Johnston, go ahead. | 14 | is a |
| 15 | MR. JOHNSTON: A last question, | 15 | Q. Sorry, where are you, sir? Just |
| 16 | Mr. Bickford, and really just by way of assisting us | 16 | to be sure we're following you. |
| 17 | to understand some of the terminology that we've | 17 | A. Coming from the left-hand side of |
| 18 | heard from your testimony, which for those of us not | 18 | the sheet at the top, |
| 19 | familiar with your industry will not necessarily be | 19 |  |
| 20 | clear. | 20 |  |
| 21 |  | 21 |  |
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|  | Page 1230 |  | Page 1231 |
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| 2 | That's how you would apply it. | 2 | MR. JOHNSTON: Thank you, |
| 3 | You'd look into the -- I would look | 3 | Mr. Bickford. |
| 4 | into the directory of | 4 | PRESIDING ARBITRATOR: Thank you, |
| 5 |  | 5 | Mr. Johnston. Any requests? No. No questions? No |
| 6 |  | 6 | questions. |
| 7 |  | 7 | Then brings to an end your |
| 8 |  | 8 | cross-examination. And I'd like to thank you in the |
| 9 |  | 9 | name of both parties for your presence and all the |
| 10 |  | 10 | expertise you have demonstrated and you are a free |
| 11 |  | 11 | man now. |
| 12 |  | 12 | THE WITNESS: Thank you for your |
| 13 |  | 13 | courtesy. |
| 14 |  | 14 | PRESIDING ARBITRATOR: Pleasure. And |
| 15 |  | 15 | the question is, I think, we have 15 minutes. |
| 16 |  | 16 | Probably makes no sense to call Mr. Buxton before |
| 17 |  | 17 | the lunch break. So I think we are going to have |
| 18 | I'm retired two years now. | 18 | our lunch break now which means a quarter to one to |
| 19 | But I was in this business since | 19 | a quarter to -- to 2:00. |
| 20 | 1972. So I saw change but nothing -- as you folks | 20 | Yes, actually. So we are going to |
| 21 | know, this is taking big rocks and making little | 21 | resume at 2:00 o'clock. |
| 22 | rocks out of them. It is not rocket science. | 22 | --- Lunch recess taken at 12:46 a.m. |
| 23 |  | 23 | --- Upon resuming at 2:04 p.m. |
| 24 |  | 24 | --- Public transcript begins at 2:04 p.m. |
| 25 |  | 25 | PRESIDING ARBITRATOR: I think we are |

all set. Welcome, Mr. Buxton. THE WITNESS: Thank you. PRESIDING ARBITRATOR: Good to see you again.

Would you please read out the
statement that you have in front of you?
MR. BUXTON: I solemnly declare on my honour and conscience that I will speak the truth, the whole truth and nothing but the truth.

PRESIDING ARBITRATOR: Thank you very
much. I give the floor to Mr. Nash for direct. AFFIRMED: MR. PAUL BUXTON
EXAMINATION-IN-CHIEF BY MR. NASH:
MR. NASH: Mr. Buxton, you appeared before the tribunal in the merits phase back in October of 2013?
A. Yes.
Q. And just to refresh everyone's memory, you were the project manager throughout the development environmental assessment phase of the development of Whites Point?
A. That is correct.
Q. And you worked with John Wall on the Whites Point Project throughout?
A. Yes, I did.
this hearing that has been provided to date?
A. I am. I remember it from the first day. Thank you.
Q. Could I get to you confirm that you have not spoken to anyone about the arguments or the testimony and the hearing prior to your testimony?
A. I can confirm that.
Q. You just stated that you were the
project manager for the Whites Point Project?
A. Yes.
Q. And the Whites Point Project was
the first time that you acted as a project manager
for a Quarry and Marine terminal project?
A. That is correct.
Q. And was it the first time that you were involved in a project that underwent a review panel environmental assessment?
A. That was the first time, yes.
Q. Have you been involved in any
environmental assessments that have undergone a review panel assessment since?
A. No, I have not.
Q. Let's start by turning to
paragraph 20 of your second witness statement filed
Q. You were a professional engineer
and had been for almost forty years in 2013?
A. Pretty close.
Q. You remain a professional
engineer?
A. I do, yes.
Q. And you remain working actively as a professional engineer in Nova Scotia?
A. Yes, I am.
Q. And you signed two witness statements in the damages phase. One was December 13th, 2016?
A. Yes, that is correct.
Q. And the other, the second was on August 18th, 2017?
A. That is correct.

MR. NASH: Those are my questions.
PRESIDING ARBITRATOR: The floor is to Ms. Kam for cross-examination.
CROSS-EXAMINATION BY MS KAM:
MS. KAM: Good afternoon, Mr. Buxton.
A. Good afternoon.
Q. As a witness in this arbitration, you are aware of your obligation not to talk to anyone about the evidence that has been provided in

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in the damages phase.
Just for reference, this part of your witness statement is titled "Environmental Impact Statement", and I will just be referring to the Environmental Impact Statement as the EIS.
A. Yes. I wonder if you'd mind turning your microphone up or speaking louder. I'm afraid my hearing is not as good as it used to be.
Q. Let me know if you can't hear me and I'll do my best to speak louder.
A. Thank you.
Q. And as a project manager for the Whites Point Project, you were responsible for preparing Bilcon's EIS?
A. Yes, I was, yes.
Q. And so you were also responsible for drafting the EIS?
A. Yes, I was.
Q. And you were also responsible for commissioning studies for the EIS?
A. Yes.
Q. Okay. And in paragraph 20 of
your witness statement, you describe the EIS as a document drafted at a very early stage of the project; is that correct?

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A. That is correct, yes.
Q. Let's turn now to tab 3 which is an excerpt of Exhibit R-580, so tab 3 of the binder in front of you.
A. I'm sorry, this binder?
Q. This binder. Yes. It's volume 7
of the EIS. It's just an excerpt so if you flip the page, you'll get to page 10 of the document. Under the heading "Section 1004", it states that:
"The Whites Point Project was designed to supply Bilcon's parent company Clayton Concrete
Block and Sand with washed
aggregates to be used in the
current concrete and block
operations in New Jersey." [As
read.]
Correct?
A. Yes. Yes, it does say that, yes.
Q. So the plan was to supply Clayton Concrete Block and Sand with washed aggregates for their operations in New Jersey; is that correct?
A. Yes.
Q. Let's turn now to page 7 of the document at tab 1 of the binder which -- starting in
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the second paragraph, it states that: "Bilcon of Nova Scotia Corporation is a private family-owned business."
Do you see that?
A. Yes, I do, yes.
Q. Could you please read out the
rest of the paragraph for the record?
A. (Reading):
"Its parent company, Clayton Concrete Block and Sand, manufactures concrete products in New Jersey, USA. Bilcon needs a source of raw aggregate materials that is not subject to market fluctuations or market disruptions. The development of the Whites Point Quarry could satisfy this need for the next 50 years. Thus, the fundamental rationale for development of this quarry is to supply a stable fixed market with the raw material necessary for the their manufacturing processes. The

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understood from the beginning, and let me just sort of back up a little bit and say that when this project was undertaken -- and we're going back now to April 2002, when the original 3.9-hectare quarry was to be expanded -- my job at that time was to carry out whatever environmental assessment processes were required. At that time we were not in a joint panel review, but I knew that we would trigger, at the very least, a comprehensive study.

So, my job is to assemble the information to enable us to get into that environmental assessment process. I did not need to know all the details. I needed to know the fundamentals, the basics. What are we going to do? We're going to crush aggregate, we're going to ship aggregate. So I need to know some fundamental things, what size in general terms is the ship going to be. It could have been a barge at that stage for all I knew. So we had to work through this process of defining what it was we were actually going to do, what our footprint was so that we could conduct a complete environmental assessment process.

So, my job then was to seek that information and move forward with it. I was not interested at that stage in the details, all the
details of a crushing plant or anything else. I needed to know, in general terms, the size of the ship. Can we bring the -- can we bring a ship in here? What size ship can we bring in here at reasonable cost?

So those were the sorts of things that I needed to know. I did not really need to know precisely where the ship was going except, in general terms, the depth of the port to which it was going; in other words, is it going into port that can support 20,000 tons or 40,000 tons, 45,000 tons, simply in general terms, so that we could then coordinate the activities of the shipping to the arrival of the ship in the port.

So those were the sorts of questions that I was asking at that time. And did I need to know everything about the Clayton operation? No, I didn't.
Q. Okay. Mr. Buxton, thank you for the explanation. My question was just if this paragraph reflected your understanding of the project. We'll get into the other details in the EIS shortly but I just -- if you could stick to answering my questions. Our time is, unfortunately, limited.
A. Well, I was trying to answer your question. At the time that this was written -- and let me, perhaps, explain a little bit to you. This is from the project description. The project description was first drafted, I would say the first elements of it were set down in December 2002, okay?
Q. Okay.
A. 2006 is when it was presented as a document to a Joint Review Panel but we knew that -- I knew that I would need to indicate to the regulatory authorities, federal and provincial, first question they are going to ask is: "What are you going to do? What is this thing? How big is the quarry in general terms? Are you going to ship, marine terminal," et cetera, et cetera.
Q. Mr. Buxton, we don't need to know all the steps.
A. Let me finish, let me please
finish. Excuse me, I'm trying to explain. We're into a process here.

MR. SCOTT LITTLE: Judge Simma, Judge Simma, if I may, I can go back and read the question that Ms. Kam asked.

THE WITNESS: I understand the question.

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MR. SCOTT LITTLE: And the explanation that Mr. Buxton is providing has nothing to do with that question.

PRESIDING ARBITRATOR: I think we all remember the question. And, Mr. Buxton, I'm sorry, I think you should really keep to answering the question in a succinct way and maybe in the further course of the examination, you will have a chance to deal with the points.

THE WITNESS: Fair enough, fair enough.

MR. NASH: If I may just say so, Judge Simma, the question was a very broad question. It was: Was that your understanding of the project? And Mr. Buxton is giving his answer to that very broad question, what was his understanding of the project.

MR. SCOTT LITTLE: If I may clarify, the question was: Was that your understanding of the rationale for the project, not "the project", and Mr. Buxton provided a far broader response than needed to be provided to that.

PRESIDING ARBITRATOR: I think it's not worth going into a fight about over that.

Mr. Buxton, I think you've got the

Page 1243
idea.
THE WITNESS: Yes, yes, I wrote it
Mr. President, so, yes.
PRESIDING ARBITRATOR: Please. Okay. Thank you.
BY MS. KAM:
Q. Thank you. Well, Mr. Buxton, our time is just limited, so thank you.

In terms of this statement, I'm going to suggest to you that you are informed that the aggregate from the project was to be shipped exclusively for captive production for the Clayton Concrete Block and Sand companies, based on the statement that: "Bilcon intends to develop and control their own supply of aggregate exclusively for Clayton Concrete Block and Sand"?


Q. And according to the second sentence in the paragraph, you understood Clayton Concrete Block and Sand to be located in New Jersey; correct?
A. Yes.
Q. Okay. Could you please turn now to tab 4 of your binder, which is Exhibit C-392. This is a study that was prepared for Global Quarry Products; correct?
A. Yes, yes.
Q. And as project manager, did you commission this study?
A. Yes.
Q. And the purpose of this study was to conduct a risk assessment of invasive species from ships loading at the Whites Point Project site?
A. Yes, yes.
Q. And the issue of invasive species was relevant to the environmental assessment of the project, was it not?
A. It certainly -- it certainly came up as a question. We expected it as a question so
we needed to deal with the issue invasive species, yes.
Q. And you would agree that where a ship is coming from would be an important factor in the risk assessment for invasive species?
A. Coming from or going to?

Presumably, you mean where it's picking up ballast water.
Q. Picking up ballast water?
A. Yes, yes, of course.
Q. Could you please read the title
of the study out for the record?
A. "A preliminary assessment of the risks of introducing nonindigenous phytoplankton, zooplankton species or pathogens/parasites from South Amboy, New Jersey, Raritan Bay, into Whites Point, Digby Neck, Nova Scotia."
Q. Thank you, Mr. Buxton. So based on your understanding that the aggregate would be shipped to New Jersey, you specifically commissioned the study that identified South Amboy, New Jersey as a point at which the ballast water would be taken on for this project?
A. Yes, Raritan Bay, in general terms, yes.

Page 1246
Q. And this was the only study that you commissioned for the study of invasive species for the --
A. Yes.
Q. -- environmental assessment.

I'll just ask if you could let me finish the question so our court reporter can keep track of all of us in her transcript.

Now, I'd like to turn to tab 1 of your binder which is the revised project description, Exhibit R-581, and if you could please turn to page 40 of that document. Are you there?
A. I'm on page 40. "Description", yes.
Q. According to the second sentence in the last paragraph on this page:
"Bilcon's proposal during the EA process is that the quarry operate for approximately 44
weeks of the year with an 8-week maintenance period during the winter months." [As read.]
Right?
A. Yes, I can see that.
Q. Let's turn to Exhibit C-154 which

Page 1247
is at tab 5 of your binder and that's at volume 1 of the hearing transcript.
A. All right, I'm in tab 5.
Q. Great. Could you please turn to page 54 of the transcript.

You would agree that page 54 refers to your testimony at the Whites Point hearing. If you look at the top right-hand corner of the page, it says "Paul Buxton"?
A. Yes. Yes.
Q. And starting at line 8 of this page, you state that:
"The quarrying and ship-loading, we anticipate that will continue for 44 weeks of the year."
Do you see that?
A. Yes.
Q. So Bilcon's proposal during the environmental assessment process was that the quarry would operate for 44 weeks of the year and that included both quarrying and ship-loading; correct?
A. Not necessarily, although it does say that there. The ship-loading is much more -actually, is probably less sensitive to the weather, curiously enough, than the quarry -- than the

|  | Page 1248 |  | Page 1249 |
| :---: | :---: | :---: | :---: |
| 1 | crushing and the reason for that is and the reasonfor us talking about a winter stoppage is that--- Upon commencing confidential session underseparate Cover at 2:24 P.M.The next winter we might get a seriesof hard frosts the beginning of December and wemight that winter say, that's it, we're shutting itdown. | 1 |  |
| 2 |  | 2 | Q. Okay, but in the next sentence of |
| 3 |  | 3 | this paragraph you noted that: |
| 4 |  | 4 | "The 44 weeks takes into account |
| 5 |  | 5 | the typical bad weather that we |
| 6 |  | 6 | get in January and February." |
| 7 |  | 7 | Correct? |
| 8 |  | 8 | A. Well, it -- yes, yes, that's |
| 9 |  | 9 | true. January and February can be poor. |
| 10 |  | 10 | Q. So you confirmed that during EA |
| 11 |  | 11 | process the plan was to operate the quarry for 44 |
| 12 |  | 12 | weeks in the year, but as I understand, in this |
| 13 |  | 13 |  |
| 14 |  | 14 |  |
| 15 |  | 15 |  |
| 16 |  | 16 |  |
| 17 |  | 17 |  |
| 18 |  | 18 | Q. Sorry, sir, could you just |
| 19 |  | 19 | confirm, is it correct that -- |
| 20 |  | 20 | A. Yes. |
| 21 |  | 21 |  |
| 22 |  | 22 |  |
| 23 |  | 23 |  |
| 24 |  | 24 |  |
| 25 |  | 25 |  |
|  | Page 1250 |  | Page 1251 |
| 1 |  | 1 | witness statement in this phase of the arbitration? |
| 2 | . | 2 | A. First one? I'm in the wrong |
| 3 |  | 3 | document here. |
| 4 |  | 4 | Q. I'm not sure how your counsel |
| 5 |  | 5 | organized the witness statement but it should be |
| 6 |  | 6 | that first tab. |
| 7 |  | 7 | A. Yeah, I think I messed them up |
| 8 |  | 8 | here. Here they are. There are more ones and twos |
| 9 |  | 9 | of the document. |
| 10 |  | 10 | Q. Paragraph 22, please. |
| 11 |  | 11 | A. In number 1? |
| 12 |  | 12 | Q. Yes. In the second sentence here |
| 13 |  | 13 | it refers to |
| 14 |  | 14 | Do you see that? |
| 15 |  | 15 | A. Yes, yes. |
| 16 |  | 16 | Q. And if |
| 17 |  | 17 |  |
| 18 |  | 18 |  |
| 19 |  | 19 | A. Yes. |
| 20 |  | 20 | Q. And just factually you would |
| 21 |  | 21 | agree that |
| 22 |  | 22 |  |
| 23 |  | 23 | A. Absolutely, yes, yes. |
| 24 | Q. Okay, Mr. Buxton, could I please | 24 | Q. And could we please turn to |
| 25 | get you to turn to paragraph 22 of your first | 25 | Exhibit C-1010, which is at tab 6 of the white |

binder and it is a document entitled "Whites Point Operating Costs 2011 to 2015".
A. You will have to give me that again, I'm sorry.
Q. Tab 6 in the binder.
A. Tab 6, it is a very short one?
Q. Yes. Mr. Buxton, this is a
document that you created?
A. Yes, it is.
Q. And you created this document for the purposes of this arbitration?
A. Yes.
Q. In the table "Personnel Costs" under "Hourly Employees", you estimated that there would be working during production?
A. Yes.

Q. Based on your estimates of the -for the number of $\square$ for the project, you would agree that there


Page 1254
Q. Do you know what your position now is in terms of the amount of tons of aggregate that would be produced weekly for this project?

A. Yes.
Q. And so you would agree that by

A. That's generally true, yes.
Q. Okay, so let's turn now to tab 1 of your binder. I am going to ask you to turn to a lot of tabs today. Page 6 of that document, please. Just for the record, that's Exhibit R-581.

MR. NASH: May I ask Ms. Kam to go a little slower, so that we can all catch up.

MS. KAM: I am just stating these for the record.

MR. NASH: I understand. Could I ask you to go a little slower so that we can all catch up.

PRESIDING ARBITRATOR: To go slower. Yes, slower.

MS. KAM: Sure. I'm watching
Mr. Buxton so... okay. I'm on page 6.
Great.
A. Tab 1, project description.
Q. Great. So according to the first sentence in the fourth paragraph:

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On the other aspect of this, we have the marine terminal. My experience over the years is that people change their minds over time when the project is being developed. Their initial concept changes, and I wanted to make absolutely sure that we, in fact, provided a facility that would be practical and would not run into technical problems of size deficiency. So, for example, you will see in the EIS I talked about a Panamax-size vessel.
Q. Sorry, Mr. Buxton, if you could just limit --
A. This is important if you want to know the answer.
Q. I just wanted to know what your current estimate of the number of tons of aggregate that would be produced weekly would be now. I -just a number figure. I don't really -- I'm not asking about how you derived that figure.
Q. Okay. Okay. Thank you. Well, let's look up now at the second paragraph on this page.
A. Still on page 6?
Q. Yes.
A. Yes.
Q. Here it states that annual production of aggregate product is estimated to be 2 million tons?
A. Yes.
Q. If you turn to page 24 of this document, it states here, under the first paragraph, that:

> "The Whites Point Quarry was designed to produce an approximately 2 million tons per year over a 50 -year project life." [As read.]
A. Yes.
Q. Do you see that? So you would agree that Bilcon consistently represented in its submissions to the government and to the EA process that it expected to produce 2 million tons per year over the 50-year life of the project?

MR. NASH: I object to that question.

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PRESIDING ARBITRATOR: Wait a minute, wait a minute. So as I understood it, Ms. Kam repeated what the substance of the first sentence in her own words.

MS. KAM: I did.
PRESIDING ARBITRATOR: But she didn't change the 40,000 or 50 years or 2 million, so I don't see anything wrong with that.

MR. NASH: She left out the word "approximately."

MR. SPELLISCY: That is wonderful coaching of his witness, which is completely inappropriate. As Mr. Nash has been instructed, we can put statements of facts, and this was his position, we can put a statement of facts to the witness and he can agree or disagree. What he's done right now is actually coach the witness to point to a word; it is inappropriate.

MR. NASH: It is entirely appropriate. When you put a document, the text of a document, to a witness and then you leave out an important word, it is improper.

MR. SPELLISCY: This is exactly what we've had for this past few days, where Mr. Nash has read documents without putting them to the witness.

That question is not properly stating what the document says. Can you read the statement from the document please?

MS. KAM: So the document states, if you need a quote:
"The Whites Point Quarry is a small, basalt rock quarry designed to produce 40,000 tons
of aggregate per week and approximately 2 million tons per
year over a 50 -year project
life." [As read.]
Is that correct?
A. Yes, yes.
Q. So you would agree that Bilcon's position in its submission was that it expected to produce 2 million tons per year over the 50-year life of the project?

MR. NASH: Well, she's left out a
word.
MR. SPELLISCY: He can answer the question. I believe we've had this discussion already, Mr. Nash.

MR. NASH: The word that is in the document --

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She read the text of the document word for word and then she asked Mr. Buxton the question.

If we are going to have counsel coaching witnesses on how to respond, it's going to be a problem.

PRESIDING ARBITRATOR: I think both sides have made their point and I think we will continue the examination, keeping in mind the precision with which these things have to be handled.

Ms. Kam, please continue.
MS. KAM: Mr. Buxton, I will just repeat my question to you. So Bilcon consistently represented in its submissions that it expected to produce 2 million tons per year over the 50 -year life of the project?
A. Actually, I would have to



Page 1262
Page 1263

A. Yes.
Q. And you drafted this document
with Mr. Wall?
A. Yes.
Q. If you read the first sentence it
states:


Do you see that?
A. Yes.
Q. And so, according to this
document, you
A. That's what it says, yes.
Q. Could we now turn to tab 1 of
your binder. Tab 1 of the white binder, please.
A. Oh, sorry. That's Exhibit R-581.

Look at the first page of this
document, it was submitted in November 2006; is that correct?
A. Yes.

|  | Page 1264 |  | Page 1265 |
| :---: | :---: | :---: | :---: |
| 1 | Q. And you would agree that the | 1 | producing, I guess, |
| 2 | revised EIS project description was drafted after | 2 |  |
| 3 |  | 3 |  |
| 4 | A. Yes. | 4 | A. That is correct. |
| 5 | Q. Let's turn to page 135 of this | 5 | Q. And producing at this rate, so |
| 6 | document. | 6 |  |
| 7 | A. Got you. | 7 |  |
| 8 | Q. Okay. You are there? | 8 |  |
| 9 | A. I'm there, yes. | 9 | A. Very slightly less, but |
| 10 | Q. And you would agree that here we | 10 | , yes. |
| 11 | have a response to an information request to Bilcon. | 11 | Q. For your reference, I got |
| 12 | Do you see that? | 12 | ; is that correct? |
| 13 | A. Yes. | 13 | A. I don't |
| 14 | Q. And in the response Bilcon states | 14 |  |
| 15 | that: | 15 | Something like that, |
| 16 | "The capacity of the production | 16 |  |
| 17 | line will be 48,000 tons per | 17 |  |
| 18 | week." | 18 | A. Yes. |
| 19 | Do you see that? | 19 | Q. So you would agree that according |
| 20 | A. Yes. | 20 | to the EIS, |
| 21 | Q. And you would agree that | 21 |  |
| 22 | "capacity" refers to the maximum production output | 22 |  |
| 23 | for the plant? | 23 | A. That's correct, certainly for |
| 24 | A. Yes. | 24 |  |
| 25 | Q. And if we use these numbers then, | 25 | Q. Well, in order to get |
|  | Page 1266 |  | Page 1267 |
| 1 |  | 1 | approximately $\quad$ a year? |
| 2 | . That's what I got. Does that | 2 | A. Yes. |
| 3 | sound correct to you? | 3 | Q. Of this amount, John Wall was to |
| 4 | A. Yes. | 4 | be paid approximately $\quad$ in the year as the |
| 5 | Q. So let's turn to paragraph 62 of | 5 | quarry manager? |
| 6 | your second witness statement. I'm not sure if | 6 | A. Yes. |
| 7 | counsel can assist you with locating that. | 7 | Q. And you would be paid |
| 8 | A. I can probably get that. 62? | 8 | approximately each year as the project |
| 9 | Q. 62. You're there? | 9 | manager? |
| 10 | A. I -- is that the -- | 10 | A. Yes. |
| 11 | "I estimate the cost associated | 11 | Q. Just if we add your salary with |
| 12 | with the..."? | 12 | Mr. Wall's salary, that equals approximately |
| 13 | Q. That's correct. | 13 | a year from 2008 to 2010? |
| 14 | A. Yes. | 14 | A. Yes. |
| 15 | Q. So, here you estimate that | 15 | Q. Did you and Mr. Wall plan to |
| 16 | managerial costs during the construction period of | 16 | continue on in your roles as project manager and |
| 17 | the Whites Point Project would be approximately | 17 | quarry manager when the project moved on to |
| 18 | ; is that correct? | 18 | operation? |
| 19 | A. Yes. | 19 | A. The project manager phase would |
| 20 | Q. And this $\square$ was to be | 20 | be -- would be very much -- very much different. We |
| 21 | incurred in equal proportions during the years 2008 | 21 | agreed that we would project manage the construction |
| 22 | to 2010? | 22 | of the project, which is my forte, and always has |
| 23 | A. Yes. | 23 | been, but remembering that some of the major |
| 24 | Q. So in other words, if we divide | 24 | elements such as the marine terminal would be dealt |
| 25 | the $\square$ over three years, that's | 25 | with in separate contracts. So the marine terminal, |



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authorizations had the project received approval?
A. No, they gave us no assurances
that they would give all the permits, no.
--- Upon commencing public session at
2:50 p.m.
BY MS. KAM:
Q. Can we now just turn to your
second witness statement, paragraph 47 under the heading "judicial review."
A. Yes.
Q. Here you estimate that only about

10 to 20 per cent of the information in the first JRP process would have been useful in a second JRP process had the project been judicially reviewed; is that correct?
A. Yes.
Q. But you don't provide any
evidence to support this statement; is that correct?
A. I didn't provide any evidence,
but if you are interested or if the tribunal is interested, what I can say is this: There were 74 different valued environmental components. I went through them carefully and I judged which ones would have to be repeated. Most of those which involved any biological process, whether it was flora or
A. Yes.
Q. And just to be clear, in terms of

A. Well -- sorry?
Q. Sorry, it's paragraph 6 of the same witness statement.
A. Okay.
Q. Here you state that:
"There could be no honest basis to deny the Whites Point Quarry any of its permits, licenses and authorizations." [As read.]
Is that correct?
A. Yes.
Q. Okay, but Mr. Buxton, you would agree that Bilcon of Nova Scotia didn't obtain any assurances from the federal or provincial government that it would obtain all licenses, permits and

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fauna or most of them that involved any human effects, would need to be repeated. There were 54 of those. I judged that 20 could probably stand pretty much as we had in the original document because they were things like the geology, the soils, the things which don't change over time and so they would be largely the same.

Two of them were sort of vague and maybe they would need to be repeated, maybe they wouldn't. But in terms of time, in my view, in my view I'd say no more than 10 per cent but I'm quite happy if somebody can say or somebody would say it's actually 20 per cent could be used, but I am convinced that no more than 20 per cent of the original EIS could be used in a second EIS process.
Q. Okay, and Mr. Buxton, earlier on you confirmed that the Whites Point Project was the only environmental assessment that you were involved in that underwent a review panel assessment?
A. That is correct.
Q. And the Whites Point Project was not subject to a judicial review; is that correct?
A. No, it was not subject to a judicial review.
Q. Okay, and so you would agree that
you don't have any experience being involved in an environmental assessment that was referred back to a review panel by a Canadian court?
A. Well, I could also say that there's never been a quarry ever before that's ever done a Joint Review Panel, so it would have been rather difficult for me to have any experience. And so, further than that, had -- this being the only one that has ever gone through a Joint Review Panel, I could hardly have any experience of one either going or not going for a judicial review afterwards.

MS. KAM: Okay. Well, thank you, Mr. Buxton, those are all my questions.

PRESIDING ARBITRATOR: Thank you, Ms. Kam.

I will give the floor to Mr. Nash for the re-direct.

RE-EXAMINATION BY MR. NASH:
MR. NASH: Mr. Buxton, can you refer in the witness statement binder -- to your statement August 18th, 2007. You might have it in front of you.

> A. Yes.
> Q. Could you turn to --
> PRESIDING ARBITRATOR: Could you

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40,000 tons or 2 million tons, 40,000 tons for shipping or 2 million -- approximately
2 million tons, how does that play into the question of the EIS being at an early stage conceptual design?
A. Yes, I -- I think I was trying in
my rambling explanation of the first question to sort of come to this.

It's not only -- it's not only
understood to be done in the conceptual phase of the project, but it's an absolute requirement that it's done then because one of the purposes of an environmental impact statement is to determine what the effects are, and most importantly, when you come up with an effect which has some negative implication, that you deal with the mitigation at that stage, before you get to the detailed design. So, you know, you recognize that something is going to happen so we're going to deal with it. That's what an EIS is all about, is defining effects and then proposing adequate mitigation to nullify those effects. That's what it's all about.

And, really, it matters very little
whether you are producing 40,000 tons or 41,000 tons per ship or whatever, it is the footprint of the
repeat what you said.
MR. NASH: Yes.
Could you turn to your witness statement of December -- sorry, August 18th, 2017. Could you turn, please, to paragraph 20, which was put to you in your cross-examination.
A. Yes, I have that.
Q. Paragraph 20 states:
"It was widely known and understood by those involved in the environmental assessment process in the 2000s that an EIS was drafted at an early stage of the project, was intended to be conceptual and was naturally focussed on the environmental effects of a project and mitigation measures not the specifics of the projects' business model or design."
[As read.]
A. Yes.
Q. With that in mind, which Ms. Kam put to you, can you comment on the specific figures that are in the EIS with respect to such things as

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project which is important, what are you going to do? Now, if you were to say -- if you were to say to me, "Well, what about if you went to 8 million?" Then I'd say, "Now you've got a point" because now your footprint changes. The whole -- the whole size of the crushing area, and the noise, and the size of the load, everything changes, the number of ships, that's another EIS. All right? But the difference between 2 million tons, 2.1 million tons, 2.4 million tons, is irrelevant when you are going back to the purpose of the EIS, which is to define effects and define how you are going to get rid of those effects, to nullify them.

That's the purpose of an EIS, not to drive a proponent to describe exactly how he's going to build this or that, or the size of this crusher or the size of that conveyer; it is irrelevant.
Sorry, I tend to get carried away on that subject.
Q. Is the EIS, from your
perspective, a business plan?
A. No.
Q. Does it matter --
A. No, it has nothing to do with a
business plan.
Q. Does it matter from an
environmental impact statement, impact assessment point of view, whether the ship is going to and from New Jersey or New York?
A. It doesn't matter. And, in fact and indeed, you will find, with a little more careful reading of the transcript of the panel hearings and the EIS, that New York is widely described as being one of the terminals.


The East River comes down one side, the Hudson comes down the other side, there is an embayment, and half of that embayment is called Raritan Bay. Within a few kilometres, that is where that ship would be going, whether it was going to New Jersey or whether it was going to New York.
Q. Would it have any effect from a ballast standpoint?
A. I can't say that. We had had quite lengthy discussions with the Department of Fisheries and Oceans with respect to ballast water. We had looked at the regulations. The regulations are set by Transport Canada. Any ship that comes into a Canadian port is bound by those regulations.

Unfortunately, most of them are suggestions. And ships captains can ignore suggestions. However, we thought that we would provide some background and some useful information for the Department of Fisheries and Oceans so we knew we were coming somewhere from that area, in Raritan Bay, in the embayment area of New York and New Jersey harbours, and so we commissioned a study to at least be able to say, "This is what is in the water." All right.

Now, DFO basically said if our ships follow the guidelines and regulations under Transport Canada, they have no problem with that. And we assured them in our EIS, in one of the commitment statements, that we would ensure -- we would hire a carrier that, in fact, adhered to those regulations and guidelines.
Q. And to your knowledge, did CSL adhere to those regulations and guidelines?
A. I'm quite sure that C-SL would.

That's their business, hauling from Canada to New York, and further down the coast to Atlanta, all the way around to Tampa, and you bet your boots they would comply.
Q. That was Paul Martin's company at the time; correct?
A. Well, certainly, he owned the company at one time. At that time I can't tell you but certainly close to it.

MR. NASH: Could I ask my colleague, Mr. Little, to distribute some documents that relate to this very issue, what was said in the EIS.

I'm going to ask you first to refer to the transcript page in front of you, which should be page 132 from the JRP transcript, and direct your attention to line 16. And if you could read that paragraph out, please.
A. (Reading):
"Mr. Paul Buxton. The
involvement in New Brunswick is
extremely peripheral, if I can
put it that way. The Bayside
Quarry is operated by other than
Clayton interests, however, the
Claytons have a marketing
distribution on Brooklyn Sand and
Gravel which operates out of New
York and a fair amount of the
quantity of material from Bayside
goes to New York. In that
operation, Clayton is a

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## 50 per cent partner and is the

 managing partner." [As read.]Q. And if you could also then go to the next document which is part of Exhibit C-001 and I would ask you to refer to that second full paragraph which starts out "bulk carriers" and then go down to the last sentence in that paragraph.
A. I'm sorry, you lost me.
Q. Sorry, it is the second full paragraph. It starts out "bulk carriers are proposed..."
A. Okay. Sorry, I'm on the wrong
page.
Q. That's okay. "Bulk carriers are proposed ..."

Looking at that paragraph.
A. Yes.
Q. Then going down to the third from last line, the sentence stated there, "The primary destination..."
A. (Reading):
"The primary destination of the rock products is the New
York/New Jersey area, and more specifically, the ultimate
destination is South Amboy New Jersey."
Because that's where the grits would have gone.
Q. Now, in 2006 when the EIS was
submitted, you were not an employee of the Claytons; that's correct?
A. I was never at any time an employee of the Claytons.
Q. You were retained as an
independent consultant?
A. That is correct.
Q. Were you privy to all of the
internal dealings with respect to -- I'll just ask to go into a closed session for a moment.
--- Upon commencing confidential session under
separate cover at 3:05 p.m.
MR. NASH: Were you privy to all of the internal dealings with respect to the internal relationships of the various Clayton corporations and exactly which company from the Clayton Block and Sand group, as it was known, was going to be dealing with this aggregate coming from Nova Scotia and for what purpose?
A. It was none of my business. I
asked the Claytons the questions that I needed to complete the design and the environmental impact statement. I got clear, concise answers, but I never asked them any of their business, what their business interest were or anything like that. Since then, I have known them better and I know a little bit more about their operations, but at that time I didn't need to know and I didn't ask.
Q. Did you need to know exactly which company would be receiving the aggregate coming from Whites Point, for environmental purposes, did you need to know, and which company would be receiving it either in New York or in New Jersey?

> A. Oh, it was irrelevant to me.
> Q. Was it irrelevant from the
standpoint of any aspect of the environmental assessment impact process in terms of knowing exactly what company it was going to and exactly where it would be located in the New Jersey/New York area?
A. I really can't think of any major changes that would be made to an EIS because it was going 15 miles further down the coast or 10 miles further down the coast.

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is concerned about costs and he's concerned about this and that.
 to mitigate all of that noise so that, at the very worst, we'll be 65 decibels at the property line. That's the mitigation.

Now we come to the next very important thing in an EIS or an environmental assessment and that is to say: What is the residual effect? What is it -- when we've done all the mitigation, what is the residual effect? But if we can say, well, there's no more noise that is going to be above the 65 decibel -- and I'm talking 65 decibel now -- way, way on the property line. So we've done our job and we would say, yes, it is an adverse effect because we are making some noise but it is not significant.

Then we come to the next important step: how are we going to prove that to the government? We are going to monitor it. We are going to monitor the noise 24 hours a day with recorders around the periphery of the property and they will be recorded permanently for records
purposes. That is the procedure which is fundamental to any environmental assessment. If that is not done, you don't have an environmental assessment. I'm sorry, you don't.

And we did not -- we did not get that; our EIS was not -- I'm sorry, I'm going further -- was not treated to that respect. And then what you do as a -- as a furtherance is one collects all the mitigation together and you put it in a mitigation table, you collect all the monitoring together, you put it into a monitoring table, and those become commitments. And if the panel wants to say, okay, your mitigation looks okay but we're going to hold you to that, so, Mr.-Mr. Government, if you are going to give these people a permit, those should go in as commitments: They must do this, and they must do that.

That's the process.
Q. Was that process conducted in this case in conjunction with regulatory authorities such as DFO?
A. Well, of course. We -- what you have to do is you have to find the best advice that you can get, the best experts that you can get, because you don't want to get caught short, you

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 August 18th, 2017 witness statement to the question of -- if you go to paragraph 6, page 2 of that statement, Ms. Kam took you to the fourth line of paragraph 6 , which states, where you state:
"There could be no honest basis to deny the Whites Point Quarry any of its permit, licenses and authorizations including the

Navigable Waters Protection Act and the Fisheries Act authorizations."
And Ms. Kam asked you: Were you given any promises or assurances that you would get those permits, and you said, "no."

Can you advise the tribunal of what your expectations were at the time?
A. I think my expectations are set out in this paragraph, and I think there are four similar paragraphs where I use the word "honest", and I use the word "honest" in this paragraph and four other paragraphs after significant thought.
"Honest" means free of deceit, it means trustworthy and sincere. And it is one of those adjectives which cannot suffer gradation, it is an absolute. It's words like sincere, legitimate, honourable, if you like, they are absolute. So because they are absolute, they are not capable of partial diminution. You can't be a little bit honest, just as you can't be a little bit pregnant. You are either honest or you're not.

I'm saying if we had an honest process in the second part for all the other permits that we required, the industrial permit, permits
required under Section 35 of the Fisheries Act, Beaches Act that sort of thing, if we had had an honest process, I'm absolutely, absolutely sure that we would have got all the necessary permits.

Let me just add this. I'm in this business. I do environmental assessments for a living. And in the last five years, I have got permits and approvals, and I'm guessing a little bit here, for about 240 projects. I've never had one denied.

The field offices of the Department of the Environment, Province of Nova Scotia, are filled with very fine people, very dedicated people, very good people. And amongst those approvals, there were 82 mink farms. If you think a quarry might be bad, you want to go on a mink farm with 350,000 animals. All those EISs were done and approved and all those mink farms were licensed.

So I'm aware of the process, I'm aware of the standards, and I have absolutely no doubt whatsoever. And, in fact under section 35, we virtually had a promise from the Department of Fisheries and Oceans that they would give us the permit for Section 35 for habitat destruction. And there was no Section 32, so we would be looking at

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an industrial approval and then applications under the Beaches Act, et cetera, et cetera, and I have no doubt whatsoever that we would have gotten industrial approvals. I get industrial approvals all the time for mink oil plants, for highway -rail crossings, all kinds of things. And they are very good, very good people at the Department of Environment and the regional offices, they are dedicated, sincere, very good people, and they are there to protect the environment and they do their job, and I have no doubt that we would have got permits.
Q. Could you turn, please, to tab 6 of the large white binder, the first page. Ms. Kam referred you to that as well.
A. Yes.
Q. At the same time, could you turn in your second -- let's get to tab 6 first in the big white binder.
A. I'm in tab 6. Give me a page number.
Q. Tab 6 should be the first -- the first page should be "personnel cost", if we're in the same binder.
A. Tab 6.
Q. Ms. Kam took you to that document but she also took you to another related paragraph and that is paragraph -- sorry, August 18th, 2017 statement. That's the second statement.
A. Yes.
Q. And it's paragraph 56 that she took you to on page 13. And she touched upon Exhibit 5 to your December witness statement --


Do you see that?
A. Yes.
Q. And then she took you to tab 6 on the other binder.
A. Yes.
Q. And she referred to
 and she also asked you questions about management and the management from 2008 to 2010?
A. Yes.
Q. For a year?
A. Yes.
Q. allocated to John Wall, allocated to you?
A. Yes.
Q. And then we looked at the
personnel cost. Could you look down on the personnel cost in tab 6 to the -- near the bottom line of the first table, under "management"?
A. Yes.
Q. Was that the management amount
allocated for the operation of the project for
 those are my questions.

PRESIDING ARBITRATOR: Thank you, Mr. Nash. Let me ask respondents, no further questions?

That takes us to the Tribunal, and Arbitrator Schwartz has a number of questions to you.

PROFESSOR SCHWARTZ: I think we're in confidential session right now because I'm going to ask you about some stuff in tab 6 of the binder.

THE WITNESS: Okay, got it, yes.

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QUESTIONS BY THE ARBITRAL TRIBUNAL PROFESSOR SCHWARTZ: First of all, on the obverse page it says


THE WITNESS: Yes. PROFESSOR SCHWARTZ: Would you -again, trying to keep this crisp and succinct, what does that mean?


Now, if you go into the -- which we don't unfortunately have here, but if you refer to


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For example, we committed, and if you look in the commitment table, to elements like we would bring in an expert to make sure that the glaucous rattlesnake root, which was said to be extirpated but which we found hundreds of copies on the site were being well-protected, that we were doing exactly what the plan called for to protect them. So that would be bringing somebody in from the outside to do that.

In the first year, we would do much more of that than in subsequent years. So if you take a look at the schedule, you will see in the first year, , so the first year, we're at to bring in these experts and also to sort of -- to do the base parameters to check before we start producing, the status of the plants, et cetera, et cetera. So that's what that's for.

reporting, we said that we would report, let's say every three years, bring an expert in every five years, et cetera, so, that those three elements make up the environmental costs of the project.

PROFESSOR SCHWARTZ: So, as I understand it, one of the ways you deal with uncertainty is monitoring and then potential mitigation efforts if the monitoring reveals a problem.

THE WITNESS: Yes, yes.
PROFESSOR SCHWARTZ: Does the figure you have here which drops off, does that include a contingent element to pay for further mitigation measures that become necessary after monitoring?

THE WITNESS: No, it didn't, although we describe in the -- generally in our EIS, the entire concept of adaptive management, which unfortunately got misunderstood. Adaptive management is an acceptable approach, just as you've described it. So we describe mitigation, we monitor it and we find that, wow, we don't quite meet the standard. So what do we do? We need to do more mitigation. We need to change this process. We need to change that process.

What we did, and we committed to in
many forms, is that whatever it took, we would spend to meet the commitments that we'd made. So -- and it's hard to -- you know, we think our mitigation is pretty thorough, and very expert and very thorough, but if that happened, you're right, that could go, in one year,


PROFESSOR SCHWARTZ: Thank you. Now, just looking at the chart generally, it says
$\square$ and then it says 2011.
THE WITNESS: Yes.
PROFESSOR SCHWARTZ: Whose personnel costs are those? Are those Bilcon of Nova Scotia? Are they the Bilcon of Delaware? To be determined? How do we understand who is absorbing those costs?

THE WITNESS: These costs are the cost of operating the quarry which would be operated by Bilcon of Nova Scotia. So these people would be employees of Bilcon of Nova Scotia and they would pay provincial taxes and federal taxes, and so on

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and so on, and also the quarry would pay the assessment, taxes, the municipal taxes, and of course we'd pay for everything we bought, the HST. So those costs or those benefits to the province and the municipality and federal government would be paid by Bilcon of Nova Scotia.

PROFESSOR SCHWARTZ: Okay, maybe this is something we should have remembered from the earlier proceedings but could you just refresh our memory here. You mentioned very recently you were an independent consultant.

THE WITNESS: Yes.
PROFESSOR SCHWARTZ: An independent consultant to whom, to Bilcon of Nova Scotia, to Bilcon of Delaware, to the Claytons?

THE WITNESS: To Bilcon of Nova
Scotia. I receive monies from Bilcon of Nova Scotia for my services.

PROFESSOR SCHWARTZ: I'm looking at your witness statement.

THE WITNESS: Yes.
PROFESSOR SCHWARTZ: Paragraph 33.
THE WITNESS: The second witness statement? August?

PROFESSOR SCHWARTZ: The one dated

December 13th, 2016.
THE WITNESS: Oh, sorry.
PROFESSOR SCHWARTZ: I've got it as tab K in this large binder.

THE WITNESS: Yes, I'm with you.
PROFESSOR SCHWARTZ: I will just read
it out:
"The amounts the investors expended on the Whites Point Quarry up to and including December 18th, 2007, total

THE WITNESS: Yes. Could you give me the paragraph? I'm sorry.

PROFESSOR SCHWARTZ: Paragraph 33, I'm sorry.

THE WITNESS: Yes.
PROFESSOR SCHWARTZ: Paragraph 33 on
page 8. So how should the panel understand that in terms of those are amounts expended by Bilcon of Nova Scotia, by Bilcon of Delaware, by some combination of the Clayton family.

THE WITNESS: Okay, the vast majority of those are expenditures by Bilcon of Nova Scotia and the procedure is and was that every two weeks I
would prepare an indent, if you like, a claim, to the Claytons for the amount of money expended in that period. And I would then, probably within three or four days, receive a cheque from the Claytons. That was paid into the bank account of Bilcon of Nova Scotia, and I then paid consultants, staff, anybody that needed to be paid. So, that is the vast majority of the consultants would have been paid by Bilcon of Nova Scotia.


Now, that amount is included in this but it was not paid by Bilcon of Nova Scotia. Does that -- does that --

PROFESSOR SCHWARTZ: That's very helpful. If we were looking through the materials, we would be able to identify those two individuals and find out the amount?

THE WITNESS: Yes.
PROFESSOR SCHWARTZ: Just to give us a head start, can you recall some names?

THE WITNESS: Yes. The material that we have, first of all, it shows all these two weekly periods and you can then pick each one that you want
out, click on an item on it, and the invoice will pop up, okay?

there and you can pick those out. Yes. PROFESSOR SCHWARTZ: Thank you very much.

PRESIDING ARBITRATOR: I have a couple of questions.

THE WITNESS: Yes. PRESIDING ARBITRATOR: The first one is as follows, with regard to the Maritimes transport down to New Jersey/New York. THE WITNESS: Yes. PRESIDING ARBITRATOR: Is my understanding correct that the


THE WITNESS: Yes.
dangerous stuff in the water between the East River navy yard and the area in New Jersey with regard to invasive species.

THE WITNESS: Oh, invasive species.
I can't tell you that. It would certainly bear out everything that comes down the Hudson, and my guess is that it would also represent a lot of the material, whatever it is that is coming down the East River. The line between New York and New Jersey is a very fragile line. If you are flying on one side of the Hudson, you are in New Jersey. If you fly on the other side, you are in New York.

And there was talk at one point -there was a channel, I believe it's called the Elizabeth Reach, which goes from sort of the foot, almost, of -- or lower down than Manhattan, through -- and I think Staten Island is on the other side and then it comes out again and in that area it would have been possible,
 area in there and I think it would be generally
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So --
PRESIDING ARBITRATOR: That's fine.
THE WITNESS: -- there was a lot of whales then. Some years there are no North Atlantic Right Whales or five, let's say now.

So, you are talking about a whole different set of circumstances now, and this would have occurred with every biological feature. Any panel would have said, "Well, the Harlequin duck weren't a problem in 2006 when you submitted but maybe they're a problem now." And I've got to sit somebody out there for six months all winter, to see whether there are any Harlequin duck wintering in Whites Cove. It's got to be done again. Okay?

PRESIDING ARBITRATOR: Right, thank you. Mr. Nash has a question.

FURTHER RE-EXAMINATION BY MR. NASH:
MR. NASH: Mr. Buxton, Professor
Schwartz asked some questions about mitigation and costing and how those issues folded together into the budget.

As I understand it, in the EIS, there are commitments made which incorporate mitigation and monitoring measures; is that right?
A. Yes.
that could mean --
THE WITNESS: Could be reused, could be reused.

PRESIDING ARBITRATOR: While 80 to 90 per cent --

THE WITNESS: Would have to be re-done.

PRESIDING ARBITRATOR: Re-done. Okay.

THE WITNESS: Yes.
PRESIDING ARBITRATOR: So revised?
THE WITNESS: Well, you are talking about -- let me give you a good example, which is very current and it concerns one of our, obviously, major VECs and that is marine endangered species, the North Atlantic Right Whale. So we put mapping in the EIS which showed the occurrence of the Right Whale in the Bay of Fundy, which is where this quarry would have been. While it is well away from the...

PRESIDING ARBITRATOR: May I say -- I don't want to be impolite but I think what you wanted to say was --

THE WITNESS: Things change. They changed dramatically in a very short period of time.

Page 1307 Q. And are those costed in the
budget that we were reviewing earlier?
 North Atlantic Right Whale or other marine mammals?
A. Yes, that's taken into account,
yes.
Q. Going back to paragraph 33 which

Professor Schwartz put to you in your --
A. Yes.
Q. -- witness statement. I think you still have it in front of you.
A. Yes.
Q. The reference is to the amounts that the Investors expended on the Whites Point Quarry. Professor Schwartz asked you whether those expenses were made by Bilcon of Nova Scotia. Do you know where those funds originated to make the payments, to make the payments of Bilcon of Nova Scotia to the various consultants and other people that were paid out of the Bilcon of Nova Scotia bank account?


And thank you Mr. Buxton, those are my questions.

I have one comment after Mr. Buxton is excused.

PRESIDING ARBITRATOR: Thank you very much. Any reaction to that on the part of respondents? There does not seem to be. No?

MR. SCOTT LITTLE: No, thank you.

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Mr. Buxton. It is to be recalled -- it is in the evidence and you can ask Mr. Dooley about it -- that

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already down
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to --

MR. SPELLISCY: I'm sorry, is this
argument?
MR. NASH: No, it's not argument. It
is to assist the Tribunal in any lack of charity
clarity there might be so far.
MR. SPELLISCY: Is this evidence
then? There is no witness on the stand.
PRESIDING ARBITRATOR: What do you
intend to --
MR. NASH: You were asking the question of Mr. Buxton about the


PRESIDING ARBITRATOR: I think I was fine with the answer.

MR. NASH: Oh, you were.
PRESIDING ARBITRATOR: Okay, now we have the coffee break and we start again at 4:00 p.m.
--- Recess taken at 3:46 p.m.

PRESIDING ARBITRATOR: Thank you.
That brings to an end your witness examination.
Thank you for your presence and cooperation.
Oh, do you have a question?


MR. NASH: We'll give you a list. PRESIDING ARBITRATOR: You'll identify them.

MR. NASH: We're going to do that.
We'll identify them and provide with you a list.
MR. SPELLISCY: I'm sorry, I didn't quite understand. In the existing record?

MR. NASH: In the existing record.
PRESIDING ARBITRATOR: Okay. Yes, okay. Thanks again.

THE WITNESS: Thank you very much. PRESIDING ARBITRATOR: You are released and thanks for coming. I think the time is right for a coffee break.

MR. NASH: May I just make one more comment, just following up on your point to

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--- Upon resuming at 4:04 p.m.
--- Upon commencing in public session at 4:04 p.m.
PRESIDING ARBITRATOR: Before we start the witness examination of Mr. Morrison, let me mention the point of how to spend time in the evenings in Toronto.

I've just heard that there are various, let's say various views on the kind of little humour inserts in the transcript. It doesn't have to go into the -- but I want to say the Tribunal is ready to sit until 7:00 o'clock every night, meaning tonight. Friday.

In principle, we would also be ready to spend some time on Monday here and I think the outfit would be available for that, but my guess is from some -- looking at some of the faces, that you would really prefer to sit longer into the evenings and have Monday for the preparation of your concluding statements.

MR. NASH: The parties are in agreement on that.

PRESIDING ARBITRATOR: Finally, we have found a point. That can go into the record because it is so rare.

We are ready to sit here until 7:00
$\square$
and maybe not even look nervously at our watches at 7:00 so that we can, let's say, get to an end by Saturday night. All right. Saturday will be a full day and the 7:00 o'clock, I think, also applies to Saturday. Okay, is that all right? Thank you.

Now, we turn to the examination of
Mr. Morrison.
Mr. Morrison, will you please read
out the statement that --
THE WITNESS: Declaration for expert.
I solemnly declare honour and
conscience that I will speak the truth, the whole
truth and nothing but the truth and that my
statement will be in accordance with my sincere belief.
AFFIRMED: MR. WAYNE MORRISON:
PRESIDING ARBITRATOR: Thank you and
I give the floor to Mr. Johnston for the direct.
MR. JOHNSTON: Thank you, Judge
Simma.
EXAMINATION-IN-CHIEF BY MR. JOHNSTON:
Q. You are Wayne Morrison?
A. Yes, I am.
Q. You signed two expert reports in
this arbitration?
A. Yes, I did.
Q. And your first expert report is dated December 9th, 2016 and your second report is dated August 18th, 2017?
A. That's correct.
Q. And you hold a Bachelor's of Business Administration and Master's of Business Administration?
A. Correct.
Q. And you are the president and owner of Tamarack Coal and Resources?
A. That's correct.
Q. And Tamarack Coal and Resources brokers, arranges and manages shipping for cargo internationally, including bulk cargoes such as coal and aggregates?
A. That is correct.
Q. And through Tamarack you have arranged and managed shipping for cargo internationally since 2007?
A. That is correct.
Q. And you continue to do so today?
A. Continue today.
Q. And previously, for approximately 20 years, Mr. Morrison, you were the director,

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marketing and customer service -- director, pardon me, of marketing and customer service for Canada Steamship Lines?
A. That's correct, yes, I was.
Q. And what is Canada Steamship

Lines?
A. Canada Steamship Lines is one of the largest shipping companies in Canada. They own bulkers and self-discharging vessels on the Great Lakes. They also own subsidiaries in North American down in Boston. They have CSL Americas, they have CSL Australia, they have CSL Europe.

The ships that operate in CSL
Americas are members of a pool operation which they share -- the ships that are put into that pool belong to Henning Oldendorff, Oldendorff carriers out of Lübeck, CSL, Canada Steamship Lines, out of Montreal, and Algoma Steamship Lines out of St. Catharines, Ontario?
A. Right, sorry.
Q. And you've also, Mr. Morrison,
held numerous executive positions with industry and professional associations, including as the director of the New York Trade and Coal Association?
A. That's correct.
A. Thats

Page 1315
Q. And as a member of the executive council of the Shipping Federation of Canada?
A. That is correct.
Q. And as the vice-chair of the Halifax Shipping Association?
A. Yes.
Q. And as a member of the Newfoundland Offshore Industry Association?
A. Yes.
Q. And, sir, have you had a chance to review your statements before today?
A. Yes.
Q. Do you have any corrections that you would like to make to your statements?
A. Yes, I have one correction that sort of leads into two.




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A.S.A.P Reporting Services Inc.


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| :---: | :---: | :---: | :---: |
| 1 |  | 1 | Q. So on the fourth paragraph down, |
| 2 |  | 2 | the first sentence reads: |
| 3 |  | 3 | "Water-based infrastructure and |
| 4 |  | 4 | activities will include the |
| 5 |  | 5 | ship-loading of approximately |
| 6 |  | 6 | 40,000 tons of aggregate weekly." |
| 7 |  | 7 | [As read.] |
| 8 |  | 8 | Do you see that? |
| 9 |  | 9 | A. Yes. |
| 10 |  | 10 |  |
| 11 |  | 11 |  |
| 12 | Q. I'd like to go to tab 1 of your | 12 |  |
| 13 | binder. For the record the is exhibit R-581 which | 13 |  |
| 14 | is the revised product description that Bilcon | 14 | . |
| 15 | submitted to regulators in November of 2006. | 15 |  |
| 16 | I'd like to go to page 6. | 16 |  |
| 17 | A. Page 1 has 103? | 17 |  |
| 18 | Q. Yeah, I think it's -- sorry, it's | 18 |  |
| 19 | an excerpt. I should have noted that. It is an | 19 |  |
| 20 | excerpt from R-581 so it should be the number 6 | 20 |  |
| 21 | which should be closer to the front of the document. | 21 |  |
| 22 | A. Mine starts with "7". | 22 |  |
| 23 | Q. Oh, okay, maybe we can blow it up | 23 |  |
| 24 | on screen here. | 24 |  |
| 25 | A. That's what I had -- numbers... | 25 |  |

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Any further questions from the respondent?
Questions from the tribunal?
THE WITNESS: I'm free.
PRESIDING ARBITRATOR: I wouldn't
have any. That would concludes the witness examination. Thank you very much for your presence and for your input and you are free to go.

THE WITNESS: Thank you very much, Judge Simma. I appreciate, it gentlemen. Thank you.

PRESIDING ARBITRATOR: I think we probably need a break. How many -- maybe.

MR. SPELLISCY: I'm in your hands. I could certainly use --

PRESIDING ARBITRATOR: If we go on until 7:00 which might be the case if we can handle hand Mr. Dooley to the end, right? So give us 10 minutes.

MR. SPELLISCY: Sure. Both Mr. Nash and I had hoped, perhaps, optimistically we might get through Mr. Dooley and Mr. Fougere but -PRESIDING ARBITRATOR: Tonight? MR. SPELLISCY: Perhaps we are on the sunny side of life. We will have to see. Certainly we can get through Mr. Dooley.

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A. I do.
Q. Prior to preparing the reports tendered in this arbitration, had you reviewed the Bilcon EIS statement?
A. No.
Q. You hadn't reviewed the project description?
A. No.
 my questions on re-direct.

PRESIDING ARBITRATOR: Thank you.

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MR. NASH: We had agreement. PRESIDING ARBITRATOR: Great, wonderful. So we'll start again at 5:45.
--- Recess taken at 5:35 p.m.
--- Upon resuming at 5:45 p.m.
--- Upon commencing public session at 5:46 p.m.
PRESIDING ARBITRATOR: Now we can go on the record. Just before we start the cross-examination of Mr. Dooley, let me indicate that the tribunal has decided that it would be ready -- in addition to starting at 8:30 and going to 7:00 to also cut the lunch break to 45 minutes and sandwiches to do everything possible to get you off that Monday for your own preparation.

Do you agree?
MR. NASH: Agree.
PRESIDING ARBITRATOR: Wonderful. So we start tomorrow with that new deal, right.

Okay, okay. So, welcome Mr. Dooley.
MR. DOOLEY: Thank you.
PRESIDING ARBITRATOR: Would you
please read the statement you have in front of you?
THE WITNESS: I solemnly declare upon my honour and conscience that I will speak the truth, the whole truth and nothing but the truth.

PRESIDING ARBITRATOR: Thank you. You will be directed by Mr. Nash.

MR. NASH: Thank you, Judge Simma.
AFFIRMED: MR. TOM DOOLEY EXAMINATION IN-CHIEF BY MR. DOOLEY:

MR. NASH: You are Tom Dooley?
A. I am.
Q. And you've signed two statements in this matter; one dated December 9th, 2016 and one dated August 16th, 2017?
A. That's correct.
Q. And you worked for Clayton affiliated companies for a little over 15 years?
A. That's correct.
Q. And most significantly you worked at New York Sand \& Stone from 1999 to 2015?
A. That's correct.
Q. And you operated New York Sand \& Stone?
A. Yes.
Q. And prior to that time you worked with other companies in the construction industry and you became familiar with the department -- the New York Department of Transportation Standards that
suppliers of stone were required to meet?
A. That is correct.
Q. And while at New York Sand \&

Stone you

A. That is correct.
Q. And while working at New York

Sand \& Stone you
; that's correct?
A. Yes.


PRESIDING ARBITRATOR: Okay. So who is going to cross-examine?
CROSS-EXAMINATION BY MR. SPELLISCY:
MR. SPELLISCY: Good afternoon, Mr.
Dooley. I don't think it was clarified for the record, just before I start, you didn't have any corrections or clarifications to your witness statements. I don't think it was asked and I just don't want to ask something you wanted to correct.
A. No, not that I'm aware of.
Q. Thank you. You will also have heard, Mr. Dooley, that we are undertaking extraordinary measures to try to reach our schedule. I don't want to seem rude at any point today but I will try to keep us on track and so to the extent that you can answer my question directly, it will help us meet the goal of having the preparation time that both parties desire.

Q. So the answer to my question is "yes" between $\square$

A. Yes, that is correct.
Q. You didn't disclose that fact in your witness statements, did you?
A. I'm not sure if I did or not.
Q. Didn't think that was a relevant fact, Mr. Dooley?
 that the Government of Canada -- I should say I assumed we were in confidential session because there was nobody in the room, but for the record ----- Upon commencing confidential session under separate cover at 5:52 p.m.

MR. SPELLISCY: My question, I was a bit confused, Mr. Dooley, because you said you had assumed --


A. Okay.
Q. -- but it's going to be much easier and much smoother and much more pleasant for the court reporter if you answer my questions so that we can get going faster.
A. Yeah, I mean, my goal here today is to give the information as I know and in the best way that I can.
Q. And the best way to do that is by answering my questions, Mr. Dooley.
A. I think I'm doing that.

MR. NASH: In fairness, Mr. Dooley is not a clairvoyant, I don't think. So he doesn't know where Mr. Spelliscy is going so in order to give an answer he may give some detail in order to give context and that's perfectly fair.

MR. SPELLISCY: Mr. Nash, with respect, he doesn't need to be clairvoyant to answer my questions. And my question is

All I need is a "yes" or "no", much the same way that you asked for from our witnesses. And so I am simply asking for the same respect from Mr. Dooley so we can proceed with this. Now let's continue.

MR. NASH: But you said "I'll get to that."

MR. SPELLISCY: I will get to that.
MR. NASH: So you're trying to save time by having him give very short answers which may not be fully explanatory and so he doesn't know where you're going. So if you tell him where you're going, then he'll be able to answer your questions more quickly.

MR. SPELLISCY: I'm assuming if he can answer a "yes" or "no", then he can do that.

Let's go to paragraph 91 of your first witness statement, Mr. Dooley. It's on the table in front of you.
A. Okay.
Q. I think your counsel gave it to
you.
A. In here, right?
Q. No, it's not in that book. I'll
refer to that as our binder. I think counsel give you your witness statements?
A. December 9th, 2016.
Q. I think that's your first one, is that right?
A. Now, bear with me here on reading

Page 1403
this stuff because I've got a cataract condition in my right eye and things are not reading as well as I should be.
Q. If it helps, I'm not sure with your condition --
A. It doesn't. That's like a blur to me right now unfortunately. So give me your page number, I'm sorry?
Q. It's at paragraph 91.
A. Okay.
Q. And in the second sentence here,
you say:


And for now I wanted to make sure that you're with me and you read that sentence.
A. Yes, just give me the context here. Okay.
Q. You are aware, Mr. Dooley, that the decisions on the environmental approval for the

Whites Point Quarry were issued in 2007; correct?
A. I know that now, but I didn't know it at that particular time. I was not privy to all of the information that was going on with the Whites Point Quarry.

Again, my focus was on operating New York Sand \& Stone.

What was transpiring at the Whites Point Quarry was a separate entity and was not really part of my spectrum of information, if I can explain it that way.
Q. But you knew it when you wrote this statement; right?
A. I knew it when I wrote this statement, that's correct.
Q. So then you are aware that by March of 2010, the Claytons had already been unsuccessful in obtaining their approvals for the Whites Point Quarry Project?
A. That's correct, yes.
Q. Now you haven't had the opportunity to hear the testimony this week so far so I am going to take you to it. I would put it up on the screen but also turn you to it.

It is the last tab in your binder.




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Page 1413
do, yes.
Q. It looks familiar to you?

A. Yes, that would be about right, yes.


Page 1415

Q. But you think it was around the





Page 1425
 statement, your first witness statement, paragraph 95. Paragraph 95 of your first witness statement


's correct.
Q. Now, let's come back to the

open still there on your desk at tab 8 of your binder.




|  | Page 1436 |  | Page 1437 |
| :---: | :---: | :---: | :---: |
| 1 | Q. No, in the same document you were | 1 |  |
| 2 | on. | 2 |  |
| 3 | A. This one here? | 3 |  |
| 4 | Q. Yes, | 4 |  |
| 5 | you've lost tab 8. | 5 |  |
| 6 | A. Tab 8. | 6 | A. I did not. |
| 7 | Q. I'm sorry, I'm not confused. | 7 | Q. You didn't |
| 8 | It's the same document. We're in tab 8 of the | 8 |  |
| 9 | that you | 9 |  |
| 10 | prepared that is Exhibit C-1026? | 10 | correct? |
| 11 | A. Okay. | 11 | A. That is correct. |
| 12 | Q. And we are at $\square$ of that | 12 |  |
| 13 | document? | 13 |  |
| 14 | A. What's the page number? | 14 |  |
| 15 | Q. | 15 |  |
| 16 | A. On the bottom or on the side? | 16 |  |
| 17 | Q. On the side, I think. | 17 |  |
| 18 | This is on the bottom, I | 18 | Q. But you would agree with me in |
| 19 | guess, where it says $\longrightarrow$ at the top. | 19 |  |
| 20 | A. Okay. Okay. | 20 | A. That is correct. |
| 21 | Q. Got it. You | 21 |  |
| 22 |  | 22 |  |
| 23 | correct? | 23 |  |
| 24 | A. That is correct. | 24 |  |
| 25 | Q. And so you | 25 |  |
|  | Page 1438 |  | Page 1439 |
| 1 | Q. Okay. | 1 |  |
| 2 | A. And the reason being, again, is | 2 | A. That's correct. |
| 3 |  | 3 | Q. And you've said in your |
| 4 |  | 4 |  |
| 5 |  | 5 | correct? |
| 6 |  | 6 | A. Yes. |
| 7 |  | 7 | Q. And in your |
| 8 |  | 8 |  |
| 9 |  | 9 |  |
| 10 |  | 10 | A. I'm not really sure what that |
| 11 |  | 11 | means. Can you explain that? |
| 12 |  | 12 | Q. Let's come to tab 1 of your |
| 13 |  | 13 | binder. For the record, this is Exhibit C-1015 and |
| 14 | Q. We'll come back to that in a | 14 | it is, for the record, the |
| 15 | second because I'm not sure I understand it. Let me | 15 | that you attached to your |
| 16 | ask a couple of more questions first. | 16 | witness statement, Mr. Dooley. |
| 17 | In this | 17 | And if we can turn -- if you are |
| 18 |  | 18 | there, we can turn to |
| 19 |  | 19 | but it bears |
| 20 | correct? | 20 | the label 1015-027. |
| 21 |  | 21 | There is |
| 22 |  | 22 |  |
| 23 |  | 23 | A. Yes. |
| 24 | Q. Right. | 24 |  |
| 25 |  | 25 |  |



Page 1442
Page 1443

A. Oh, 037, okay. Yes.
Q. So this is the
A. That is correct.
Q. All right. Turn to page 6 of this document which has got the label -043.

It says here at the very last sentence:

A. Yes, I see it.

A. Umm...
Q. You can look at one if you like.
A. Yeah, okay, if that's -- I'm not


|  | Page 1448 |  | Page 1449 |
| :---: | :---: | :---: | :---: |
| 1 |  | 1 | Q. What I'd like to do with you, Mr. |
| 2 |  | 2 | Dooley, is explore the effect of the |
| 3 |  | 3 |  |
| 4 |  | 4 |  |
| 5 |  | 5 |  |
| 6 |  | 6 |  |
| 7 |  | 7 | So let's turn to tab 17, which is |
| 8 |  | 8 | Exhibit R-834. |
| 9 |  | 9 | And these are |
| 10 | Q. That's what I'd like to | 10 |  |
| 11 | understand, Mr. Dooley. | 11 |  |
| 12 | A. Okay. | 12 | A. Would it be okay if I took this |
| 13 |  | 13 | off? |
| 14 |  | 14 | Q. It is a touch warm in here. |
| 15 |  | 15 | A. Yeah. |
| 16 |  | 16 | Q. There are those that are sitting |
| 17 | correct? | 17 | closer to the windows and closer to the draft than |
| 18 |  | 18 | us, Mr. Dooley. |
| 19 | Q. Okay. So now let's look at the | 19 | A. I just want you to know it had |
| 20 |  | 20 | nothing to do with your question. |
| 21 |  | 21 | Q. Now, I am going to put this up on |
| 22 |  | 22 | a split screen for you, Mr. Dooley, but that's not |
| 23 | do you see | 23 | going to help you. So if you can keep your -- |
| 24 | that? | 24 | A. Okay. |
| 25 | A. I do. | 25 | Q. -- finger on this document? |
|  | Page 1450 |  | Page 1451 |
| 1 | A. Okay. | 1 | Q. So if we turn to -- in the |
| 2 | Q. -- on tab 13, tab 17, Exhibit | 2 | invoices we have from , tab 17, the |
| 3 | R-834 and we're also going to look at the same time | 3 | one you have open in your binder and we turn to page |
| 4 | at tab 16, Exhibit C-1046 which is the revenue | 4 | 25829. |
| 5 | matrix. | 5 | This is an invoice from |
| 6 | You can even, if you want, pull that | 6 |  |
| 7 | revenue matrix out, maybe that's easier. If you go | 7 |  |
| 8 | to tab 16. | 8 |  |
| 9 | A. Okay, I'm in tab 16 now. | 9 | You can take those down and just |
| 10 | Q. Tab 16 is the pro-forma; correct? | 10 | go back to the document. |
| 11 | A. That's right. | 11 |  |
| 12 | Q. Why don't you just go for your | 12 |  |
| 13 | ease -- | 13 |  |
| 14 | A. I'll just take this out? | 14 |  |
| 15 | Q. Take the last page out. | 15 | Do you see that? It is hard for you |
| 16 | A. Last page out. | 16 | to look. If you look under on the bottom right-hand |
| 17 | Q. And we'll bring it up on the | 17 | side right above where it says "wire payment |
| 18 | screen for everybody else and take it out of your | 18 | instructions" you will see the name |
| 19 | binders too. It's yours to keep. | 19 |  |
| 20 | A. Okay. | 20 | A. So I'm looking at 30 or 29? |
| 21 | Q. All right. So in the revenue | 21 | Q. 29. You see there is an invoice |
| 22 | matrix on the last page of tab 16 you have a | 22 | date on there. This is an invoice to you. |
| 23 |  | 23 | A. Right. |
| 24 |  | 24 | Q. correct? |
| 25 | A. Yes. | 25 | A. Yes. |


|  | Page 1452 |  | Page 1453 |
| :---: | :---: | :---: | :---: |
| 1 | Q. At the -- continue down the | 1 | we ever saw it. |
| 2 | left-hand side of the page. | 2 |  |
| 3 | A. Okay. | 3 |  |
| 4 | Q. And under that you will see | 4 | A. Yeah, there is a bunch of other |
| 5 |  | 5 | ones but, yeah. |
| 6 | A. Yes. | 6 | Q. Let's come back to -- I just want |
| 7 | Q. If you go over to the right | 7 | to compare the same shipment here. So let's come |
| 8 | slightly, you will see | 8 | back to tab 16, the revenue matrix. |
| 9 |  | 9 | So for |
| 10 | A. Yes. | 10 |  |
| 11 | Q. And so if we look in this | 11 | ; correct? |
| 12 | information, we look at this invoice, we have a | 12 | A. Yes. |
| 13 | do | 13 | Q. Now, you will recall that in the |
| 14 | you see where that is? | 14 |  |
| 15 | A. Yes. | 15 |  |
| 16 | Q. And if we look down at the box as | 16 | correct? |
| 17 |  | 17 | A. Yes. The revenue matrix and the |
| 18 |  | 18 |  |
| 19 | A. Yes. | 19 |  |
| 20 |  | 20 |  |
| 21 |  | 21 | A. And the -- |
| 22 |  | 22 |  |
| 23 |  | 23 |  |
| 24 |  | 24 |  |
| 25 | A. Yes, this is probably the worst | 25 |  |
|  | Page 1454 |  | Page 1455 |
| 1 |  | 1 |  |
| 2 | A. So it depended on where you were | 2 | A. Yeah, I don't know if |
| 3 |  | 3 |  |
| 4 |  | 4 |  |
| 5 |  | 5 | So I can't say to you unequivocally |
| 6 | Q. I understand that. But you used | 6 | that a contract of affreightment between |
| 7 |  | 7 |  |
| 8 |  | 8 | Could have been changed, you |
| 9 |  | 9 |  |
| 10 |  | 10 | would have occurred later. |
| 11 |  | 11 |  |
| 12 |  | 12 |  |
| 13 |  | 13 | Q. We heard that, Mr. Dooley -- |
| 14 |  | 14 | A. Okay. |
| 15 |  | 15 | Q. -- but what I'm asking is, and -- |
| 16 | Q. They would have been, so what | 16 | A. I'm probably missing your |
| 17 | you've got here and you see in the revenue matrix is | 17 | question here, I'm sorry. |
| 18 |  | 18 | Q. In your pro-forma, |
| 19 |  | 19 | that you have in this revenue matrix summary -- |
| 20 | A. Yes. | 20 | A. Right. |
| 21 | Q. Now, that | 21 | Q. The -- , those |
| 22 |  | 22 | are based on the |
| 23 |  | 23 | correct? |
| 24 | A. Umm ... | 24 | A. Okay, yeah. |
| 25 | Q. You don't know this evidence from | 25 | Q. Are you aware of that? |


|  | Page 1456 |  | Page 1457 |
| :---: | :---: | :---: | :---: |
| 1 | A. Yes, yes. | 1 |  |
| 2 | Q. You are aware, then, that | 2 | Q. Right. But -- |
| 3 |  | 3 | A. And the one coming |
| 4 | correct? | 4 |  |
| 5 | A. I'm not really aware of that, but | 5 |  |
| 6 | I'll make that assumption. | 6 |  |
| 7 | Q. For the purpose of this we've | 7 |  |
| 8 | heard his testimony so -- | 8 | This is something that you |
| 9 | A. So, yes, it's been testified to | 9 |  |
| 10 | that. | 10 |  |
| 11 |  | 11 | Q. Right, you -- |
| 12 |  | 12 |  |
| 13 |  | 13 |  |
| 14 |  | 14 | Q. We can look back at his testimony |
| 15 | correct? | 15 | in our closing arguments on that. |
| 16 | ; right? | 16 |  |
| 17 | A. Yes. But their | 17 |  |
| 18 |  | 18 | A. The |
| 19 |  | 19 | Q. The |
| 20 |  | 20 | And all I'm trying to understand with |
| 21 | And if you look | 21 | you, and you would agree with me, I think you said |
| 22 | as | 22 | "yes," that the |
| 23 | It was different. They had | 23 |  |
| 24 | a different, you know, the | 24 | correct? |
| 25 |  | 25 | A. What I'm saying to you is the |
|  | Page 1458 |  | Page 1459 |
| 1 |  | 1 |  |
| 2 |  | 2 | That was very simple, very simple. |
| 3 |  | 3 | Q. Mr. Dooley, you certainly would |
| 4 |  | 4 | not have |
| 5 |  | 5 |  |
| 6 |  | 6 | correct? |
| 7 |  | 7 | A. That was the context, yes, that's |
| 8 |  | 8 | the concept. |
| 9 |  | 9 | Q. Give me one second here. Let me |
| 10 |  | 10 | confer with my colleagues. |
| 11 |  | 11 | PRESIDING ARBITRATOR: Can I, while |
| 12 |  | 12 | we break, do you have an idea how long you are going |
| 13 | Q. Well, perhaps, Mr. Dooley, we can | 13 | to take? I mean, I don't want to end with a |
| 14 | just agree that did you not | 14 | cliffhanger but let's -- how long -- it's |
| 15 |  | 15 | 7:00 o'clock now and... time flies. |
| 16 |  | 16 | MR. SPELLISCY: Time flies when you |
| 17 |  | 17 | are having fun, yes. |
| 18 |  | 18 | I will consult with my colleagues and |
| 19 | This was the market | 19 | expect probably not to take any more time. But I |
| 20 |  | 20 | will just verify that. |
| 21 |  | 21 | (Pause). |
| 22 | I mean, at New York Sand \& Stone what | 22 | As I hoped, those are my questions. |
| 23 | we were interested in, what was being -- what was | 23 | PRESIDING ARBITRATOR: Okay. Thank |
| 24 |  | 24 | you, Mr. Spelliscy. |
| 25 |  | 25 | THE WITNESS: All done? |

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PRESIDING ARBITRATOR: Sorry. Not
quite.
What are the prospects for the
re-direct? How long do you need it or --
MR. NASH: It's five past 7:00 now.
It is going to be some time in
re-direct. It will be shortened, I believe, if
I have overnight just to go back.
Mr. Spelliscy has maintained an
admirable clip, but he has hit upon a number of
issues, an number of pieces, paragraphs and
documents. So if I can gather the thoughts
overnight, I think I can shrink it.
I think I would be at least 45
minutes to an hour now. But I think I can shrink 15
that, I hope I can, by looking at this overnight, 16
taking a look at those documents that Mr. Dooley has 17
$\begin{array}{ll}\text { been referred to, see what questions arise with } & 18\end{array}$
respect to them. 19
$\begin{array}{lll}\text { I can do it in a much more systematic } & 20\end{array}$
way tomorrow morning starting at 8:30. 21
PRESIDING ARBITRATOR: Mr. Dooley, do 22
you know what that means for you? 23
THE WITNESS: Another night of 24

| restless sleep and another night watching the late | 25 |
| :--- | :--- |

movies.
PRESIDING ARBITRATOR: But you understand that you are --

THE WITNESS: I understand.
Absolutely.
PRESIDING ARBITRATOR: So we break for tonight and tomorrow at 8:30 we complete the... thank you.
--- Whereupon proceedings adjourned at 7:04 p.m. to be resumed Friday February 23, 2018, at 8:30 a.m.

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